Modern Theory of Nuclear Forces

Lecture 1: Introduction & first look into ChPT

Lecture 2: EFTs for two nucleons

- Chiral Perturbation Theory (continued)
 - From effective Lagrangian to S-matrix
 - ChPT in the 1N sector
- EFT for two nucleons
 - Two nucleons at very low energies
 - EFT with perturbative pions
 - Nonperturbative pions a la Weinberg
 - How to renormalize LS equation: Toy model example

Lecture 3: Nuclear forces from chiral EFT





From effective Lagrangian to S-matrix

What about quantum corrections (loop diagrams)?

$$\int \frac{d^4l}{(2\pi)^4} \frac{p_1 \cdot p_2 \ p_3 \cdot p_4}{[l^2 - M_\pi^2 + i\epsilon] \left[(l + p_3 + p_4)^2 - M_\pi^2 + i\epsilon\right]} \sim \mathcal{O}(E^4) \quad \Longrightarrow \quad \text{suppressed}...$$

UV divergences removed e.g. using DR, $\int d^4l \to \mu^{d-4} \int d^dl$, and redefining LECs from $\mathcal{L}_{\pi}^{(4)}$

<u>General observation</u>: *n*-loop diagrams are suppressed by the factor Q^{2n} compared to the tree ones Q^2 .

Power counting

Weinberg '79

Consider S-matrix element: $S = \delta^4(p_1 + p_2 + ... + p_N) M \Pi$

The amplitude can be rewritten as:

S:
$$M \equiv M(E, \mu, g^r) = E^D f\left(\frac{E}{\mu}, g^r\right)$$

Dimensional analysis:

- pion propagators: $1/(p^2 M_\pi^2) \sim 1/Q^2$
- momentum integrations: $d^4l \sim Q^4$
- delta functions: $\delta^4(p-p') \sim 1/Q^4$
- derivatives: $\partial_{\mu} \sim Q$

of loops $D = 2 + 2L + \sum_{d} N_{d}(d-2)$



Amplitude is obtained via expansion in E/Λ_{χ} . What is the value of Λ_{χ} ?

- Chiral expansion breaks down for $E \sim M_{
 ho} \implies \Lambda_{\chi} \sim M_{
 ho} = 770 \; {
 m MeV}$
- ho Consistency arguments yield: $\Lambda_\chi \leq 4\pi F_\pi = 1.2~{
 m GeV}$ (Manohar & Georgi '84)

Pion scattering lengths in ChPT



0.16

0.18

0.2

a₀

0.22

0.24

0.26

 $a_0^0 = 0.220 \pm 0.005$ (Colangelo et al.'01)

ChPT in the single-baryon sector

General formalim to construct the most general χ -invariant \mathcal{L}_{eff} with matter fields (like e.g. nucleons) is given in *Coleman, Callan, Wess, Zumino, PR* 177 (1969) 2239; 2247.

Lowest-order:
$$\mathcal{L}_{\pi N}^{(1)} = \bar{N} \left(i \gamma^{\mu} D_{\mu} - m + rac{g_A}{2} \gamma^{\mu} \gamma_5 u_{\mu}
ight) N$$

<u>Problem</u>: new hard mass scale $m \implies$ power counting ??

For example: 1-loop correction to *m* (Gasser, Sainio & Svarc '88)

Solution: heavy-baryon approach (Jenkins & Manohar '91; Bernard et al. '92)

$$\mathcal{L}_{\pi N}^{(1)} = N^{\prime \dagger} \left(i D_0 + \frac{g_A}{2} \vec{\sigma} \cdot \vec{u} \right) N^{\prime} + \mathcal{O}(1/m)$$

m disappeared from $\mathcal{L}_{\pi N}^{(1)} \implies \text{power counting manifest!}$ For example: $(\delta m)^{\text{HB}} = -\frac{3g_A^2 M_{\pi}^3}{32\pi F^2}$

Notice: Lorentz invariant formulations preferable in certain cases!

Ellis & Tang '98; Becher & Leutwyler '99; Gegelia et al. '03; ...

Further reading

Construction of the effective chiral Lagrangian

- Weinberg, Phys. Rev. 166 (68) 1568
- Coleman, Wess, Zumino, Phys. Rev. 177 (69) 2239
- Callan, Coleman, Wess, Zumino, Phys. Rev. 177 (69) 2247

Chiral perturbation theory: milestones

- Weinberg, Physica A96 (79) 327
- Gasser, Leutwyler, Ann. Phys. 158 (84) 142; Nucl. Phys. B250 (85) 465

Chiral perturbation theory: (some) review articles

- Bernard, Kaiser, Meißner, Int. J. Mod. Phys. E4 (95) 193
- Pich, Rep. Prog. Phys. 58 (1995) 563
- Bernard, Prog. Part. Nucl. Phys. 60 (07) 82
- Scherer, arXiv:0908.3425 [hep-ph], to appear in Prog. Part. Nucl. Phys.

Chiral perturbation theory: (some) lecture notes

- Scherer, Adv. Nucl. Phys. 27 (03) 277
- Gasser, Lect. Notes Phys. 629 (04) 1

Two nucleons

In the $\pi\pi$, πN sectors, S-matrix can be evaluated in perturbation theory (Goldstone bosons do not interact at E = 0, $m_q = 0$)



But this is not the case for 2 and more nucleons:

const.
$$Q \leftarrow -- \leftarrow Q$$
 \downarrow $do \text{ not } vanish for $E = 0, m_q = 0$$

The presence of shallow bound states (²H, ³H, ³He, ⁴He, …) indicates breakdown of perturbation theory even at very low energy!

Is EFT still useful for strongly interacting nucleons?

2N at very low Q: Effective Range Expansion Blatt. Jackson '49: Bethe '49



If V(r) satisfies certain conditions, F_l is a meromorphic function of k^2 near the origin



→ effective range expansion (ERE):

$$F_l(k^2) = -\frac{1}{a} + \frac{1}{2}rk^2 + v_2k^4 + v_3k^6 + v_4k^8 + \dots$$

The range of convergence of the ERE depends on the range M^{-1} of V(r) defined as

$$M = \min(\mu)$$
 such that $\int_{R>0}^{\infty} |V(r)| e^{\mu r} dr = \infty$

2N at very low Q: pionless EFT

Effective Lagrangian: for $Q \ll M_{\pi}$ only point-like interactions

$$\mathcal{L}_{\text{eff}} = N^{\dagger} \left(i \partial_0 + \frac{\vec{\nabla}^2}{2m} \right) N - \frac{1}{2} C_1^0 (N^{\dagger} N)^2 - \frac{1}{2} C_2^0 (N^{\dagger} \vec{\sigma} N)^2 - \frac{1}{4} C_1^2 (N^{\dagger} \vec{\nabla}^2 N) (N^{\dagger} N) + \text{h.c.} + \dots$$

Scattering amplitude (S-waves):

 $T_0 = \mathbf{r}$ $T_1 = \mathbf{r}$

$$S = e^{2i\delta} = 1 - i\left(\frac{km}{2\pi}\right)T, \qquad T = -\frac{4\pi}{m}\frac{1}{k\cot\delta - ik} = -\frac{4\pi}{m}\frac{1}{\left(-\frac{1}{a} + \frac{1}{2}r_0k^2 + v_2k^4 + v_3k^6 + \dots\right) - ik}$$

Natural case

 $T_2 =$

$$|a| \sim M_{\pi}^{-1}, \ |r| \sim M_{\pi}^{-1}, \ \dots \quad \Longrightarrow \quad T = T_0 + T_1 + T_2 + \dots = \frac{4\pi a}{m} \begin{bmatrix} 1 - iak + \left(\frac{ar_0}{2} - a^2\right)k^2 + \dots \end{bmatrix}$$

The EFT expansion can be arranged to match the above expansion for T.

Using e.g. dimensional or subtractive ragularization yields:

- perturbative expansion for T;
- scaling of the LECs: $C^i \sim Q^0$

In reality: $a_{^{1}S_{0}} = -23.741 \text{ fm} = -16.6 M_{\pi}^{-1}$ $a_{^{3}S_{1}} = -5.42 \text{ fm} = 3.8 M_{\pi}^{-1}$

+

2N at very low Q: pionless EFT

Unnatural case: $|a| \gg M_{\pi}^{-1}$ (Kaplan, Savage & Wise '97)

Keep ak fixed, i.e. count $a \sim Q^{-1}$: $T = -\frac{4\pi}{m} \frac{1}{\left(-\frac{1}{a} + \frac{1}{2}r_0k^2 + v_2k^4 + v_3k^6 + \dots\right) - ik} = \frac{4\pi}{m} \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\uparrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\uparrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\uparrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2 + \dots}_{\downarrow} \right] \cdot \frac{1}{\left(1 + iak\right)} \left[a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)}k^2$

Notice: perturbation theory breaks down (T has a pole at $|k| \sim |a|^{-1} \ll M_{\pi}$)

KSW expansion (DR + Power Divergence Subtraction $\implies C^0 \sim 1/Q, C^2 \sim 1/Q^2, \dots$)





from: Chen, Rupak & Savage NPA653 (1999)

- Astrophysical applications (Butler, Chen, Kong, Ravndal, Rupak, Savage, ...)
- Universal properties of few-body systems for $a \to \infty$, (Braaten & Hammer, Phys. Rept. 428 (06) 259)
- Halo-nuclei (Bedague, Bertulani, Hammer, Higa, van Kolck, ...) & many other topics...

Further reading

Pionless effective field theory: milestones

- Waplan, Savage, Wise, Phys. Lett. B424 (98) 390; Nucl. Phys. B534 (98) 329
- Bedaque, Hammer, van Kolck, Phys. Rev. Lett. 92 (99) 463; Nucl. Phys. A646 (99) 444

Pionless effective field theory: (some) review articles

- Beane et al., arXiv:nucl-th/0008064, in Boris Ioffe Festschrift, ed. By M. Shifman, World Scientific
- Bedaque, van Kolck, Ann. Rev. Nucl. Part. Sci. 52 (02) 339
- Braaten, Hammer, Phys. Rept. 428 (06) 259

Two nucleons: EFT with pions

<u>Chiral EFT for few nucleons:</u> are pions perturbative?

It is straightforward to generalize the κ SW power counting assuming that π -exchanges can be treated in perturbation theory, i.e.:



$$T(k) = T^{(-1)}(k) + T^{(0)}(k) + T^{(1)}(k) + \dots$$

EFT without pions



EFT with perturbative pions



Two nucleons: EFT with pions

Does KSW expansion based on perturbative pions converge?

• **"Low-energy theorems"** (Cohen & Hansen '99,'00; E.E. & Gegelia '09)

If pions are properly incorporated, one should be able to go beyond the effective range expansion, i.e. to predict the shape parameters.



Higher-order KSW calculation (Mehen & Stewart '00)

NNLO results obtained by Mehen & Stewart show no signs of convergence in spin-triplet channels

 \implies it seems necessary to treat pions non-perturbatively at momenta $p \sim M_{\pi}$

see, however, Beane, Kaplan, Vuorinen, arXiv:0812.3938 for an alternative scenario



Two nucleons: chiral EFT à la Weinberg

Weinberg '90, '91

Perturbation theory fails due to enhancement caused by reducible (i.e. infrared divergent in the limit $m_N \rightarrow \infty$) diagrams.



Weinberg's approach

- Irreducible contributions can be calculated using ChPT
- Reducible contributions enhanced and should be summed up to infinite order



Two nucleons: chiral EFT à la Weinberg

 $V_{\rm cont}$, V_{π} grow with increasing momenta \implies LS equation must be regularized & renormalized

$$T(\vec{p},\vec{k}\,) = \left[V_{\rm cont}(\vec{p},\vec{k}\,) + V_{\pi}(\vec{p},\vec{k}\,)\right] + \int \frac{d^3q}{(2\pi)^3} \left[V_{\rm cont}(\vec{p},\vec{q}\,) + V_{\pi}(\vec{p},\vec{q}\,)\right] \frac{m}{k^2 - q^2 + i\epsilon} T(\vec{q},\vec{k}\,)$$

Regularization of the LS equation

- DR difficult to implement numerically due to appearance of power-law divergences Phillips et al.'00
- Cutoff (employed in most applications)
 - needs to be chosen $\Lambda \gg M_{\pi}$ to avoid large artifacts (i.e. large $1/\Lambda^n$ -terms)
 - Λ can be employed at the level of \mathcal{L}_{eff} in order to preserve all relevant symmetries Slavnov '71; Djukanovic et al. '05,'07; also Donoghue, Holstein, Borasoy '98,'99

Renormalization à la Lepage

Ordonez et al.'96; Park et al.'99; E.E. et al.'00,'04,'05; Entem, Machleidt '02,'03

Choose $\Lambda \sim M_{hard}$ & tune the strengths of $C_i(\Lambda)$ to fit low-energy observables.

- = generally, can only be done numerically; requires solving nonlinear equations for $C_i(\Lambda)$,
- self-consistency checks via "Lepage plots",
- \square residual Λ dependence in observables survives



Effective theory

At low energy, $q \sim M_l \ll M_h$, the precise structure of $V_{\rm short-range}$ is irrelevant

 \implies mimic $V_{\text{short-range}}$ by a generic set of point-like interactions

What to expect?



Should work for momenta up to |k| ≤ $\frac{M_h}{2}$ = 375 MeV or, equivalently, up to energy $E_{\text{lab}} ≤ \frac{M_h^2}{2m} \sim 300 \text{ MeV}$

Should be able to go beyond the effective range expansion which converges for $|k| ≤ \frac{M_l}{2} = 100 \text{ MeV}$ or $E_{\text{lab}} ≤ \frac{M_l^2}{2m} \sim 20 \text{ MeV}$

Effective theory: $V \to V_{\text{eff}} = V_{\text{long-range}} + C_0 + C_2 (\vec{p}^2 + \vec{p}'^2) + C_4 \vec{p}^2 \vec{p}'^2 + \dots$

probe high-momentum physics,

integral diverges...

T-matrix:

- $\begin{array}{l} \textcircled{\ } \text{weak interaction, } |\alpha_{l,h}| \ll 1; \quad \langle f|T|i \rangle \simeq \langle f|V_{\text{eff}}|i \rangle \\ \textcircled{\ } \text{strong interaction, } |\alpha_{l,h}| \ge 1; \quad \langle f|T|i \rangle = \langle f|V_{\text{eff}}|i \rangle + \sum_{n} \frac{\langle f|V_{\text{eff}}|n \rangle \langle n|V_{\text{eff}}|i \rangle}{E_i E_n + i\epsilon} + \dots \end{array}$

Solution: introduce ultraviolet cutoff Λ : $M_l \ll \Lambda \sim M_h$

Fix $C_{2i}(\Lambda)$ from some low-energy data and make predictions!

For example, the coefficients in the ERE: $k \cot \delta = -\frac{1}{a} + \frac{1}{2}rk^2 + v_2k^4 + v_3k^6 + \dots$

LO:
$$V_{\text{eff}} = V_{\text{long-range}} + C_0 f_{\Lambda}(p, p'), \text{ where: } \underbrace{f_{\Lambda}(p, p')}_{cutoff function} = e^{-\frac{\vec{p}^2 + \vec{p}'^2}{\Lambda^2}} \longleftarrow C_0 \text{ from a}$$

NLO: $V_{\text{eff}} = V_{\text{long-range}} + \left[C_0 + C_2(\vec{p}^2 + \vec{p}'^2)\right] f_{\Lambda}(p, p') \longleftarrow C_{0,2} \text{ from } a, r$
NNLO: $V_{\text{eff}} = V_{\text{long-range}} + \left[C_0 + C_2(\vec{p}^2 + \vec{p}'^2) + C_4 \vec{p}^2 \vec{p}'^2\right] f_{\Lambda}(p, p') \longleftarrow C_{0,2,4} \text{ from } a, r, v_2$



Error at order ν : $\Delta\delta(k) \sim (k/\bar{\Lambda})^{2\nu}$, $\bar{\Lambda} \sim 400 \text{ MeV}$ agrees with $\bar{\Lambda} \sim M_h/2$ Results for the bound state: $E_B = \underbrace{2.1594}_{LO} + \underbrace{0.0638}_{NLO} - \underbrace{0.0003}_{NNLO} = 2.2229 \text{ MeV}$

Lesson learned:

- Incorporate the correct long-range force.
- \sim Add local correction terms to $V_{\rm eff}$. Respect symmetries.
- Introduce an ultraviolet cutoff Λ (large enough but not necessarily ∞).
- Fix unknown constants from some date and make predictions.

At low energy model independent and systematically improvable approach!

For more details see: G.P.Lepage, "How to renormalize the Schrödinger equation", nucl-th/9706029

Further reading

Breakdown of NN EFT with perturbative pions

- Cohen, Hansen, Phys. Rev. C59 (99) 13; Phys. Rev. C59 (99) 3047; arXiv:nucl-th/9908049
- Fleming, Mehen, Stewart, Nucl. Phys. A677 (00) 313

How to renormalize the Schrödinger equation

- Lepage, "How to renormalize the Schrödinger equation", arXiv:nucl-th/9706029
- Lepage, "Tutorial: renormalizing the Schrödinger equation", talk at the INT Program 00-2 "Effective Field Theories and Effective Interactions", see:

http://www.int.washington.edu/talks/WorkShops/int_00_2/People/Lepage_TUT/ht/01.html

E.E., Gegelia, Eur. Phys. J. A41 (09) 341

Nuclear chiral EFT à la Weinberg

Weinberg's approach

- Irreducible contributions can be calculated using ChPT
- Reducible contributions enhanced and should be summed up to infinite order





Structure of chiral nuclear forces

$$V_{\text{eff}} = \sum_{\nu} \left[\underbrace{V_{\text{short-range}}^{(\nu)}}_{parametrized} + \underbrace{V_{\text{long-range}}^{(\nu)}}_{\chi-symm. \ constrained} \right]$$

- how to derive nuclear forces from \mathcal{L}_{eff} ?
- results for few-nucleon systems ?
 - → see next lecture...