

# **How nuclei behave: a simple perspective based on symmetry and geometry**

**(with a discussion of the microscopic drivers of  
structural evolution)**

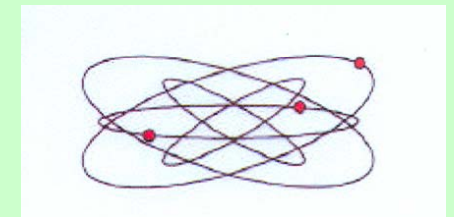
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WNSL, Yale**

# Themes and challenges of Modern Science

## •Complexity out of simplicity -- Microscopic

How the world, with all its apparent complexity and diversity can be constructed out of a few elementary building blocks and their interactions

**What is the force that binds nuclei?**



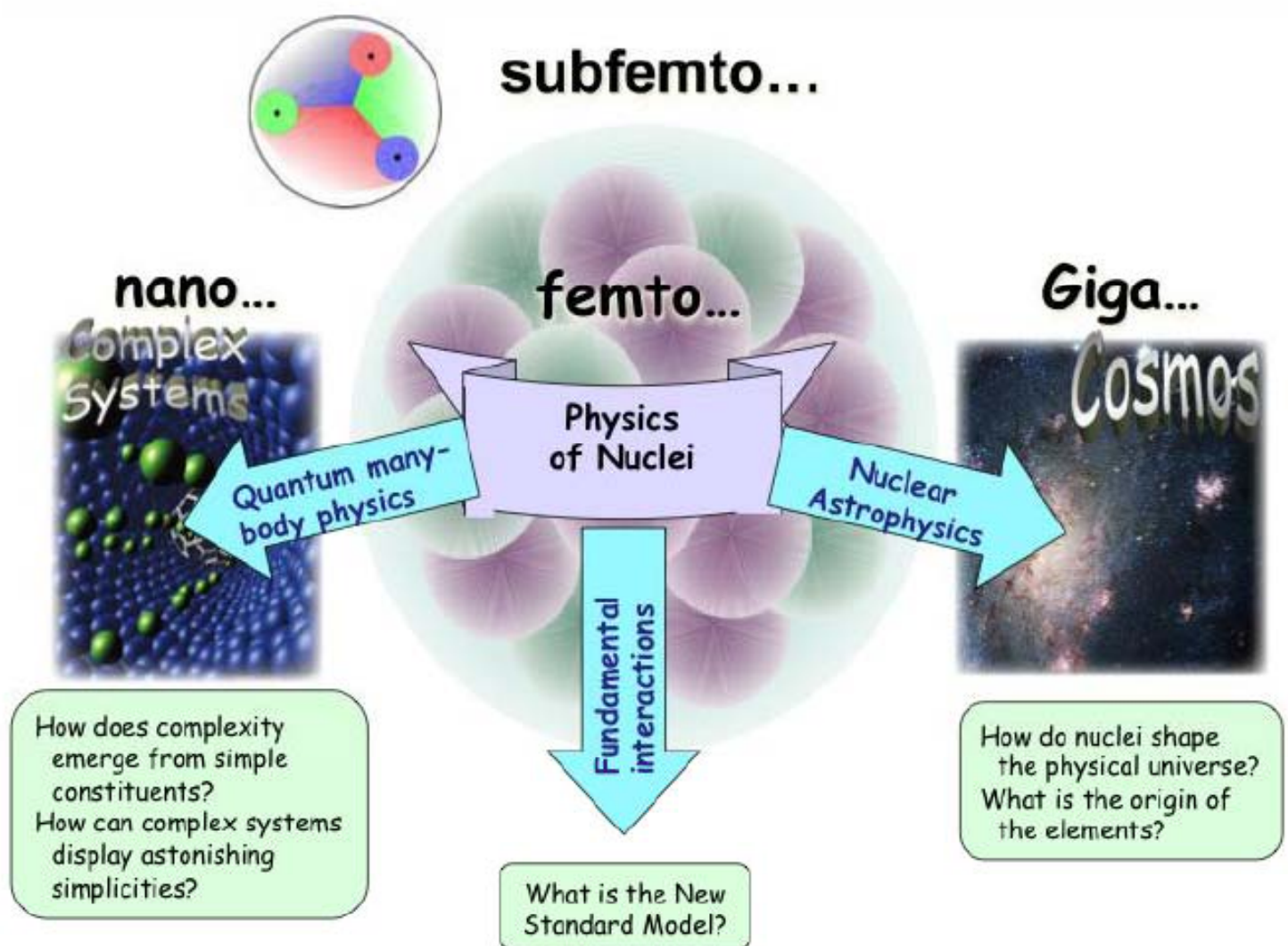
## •Simplicity out of complexity – Macroscopic

How the world of complex systems can display such remarkable regularity and simplicity

**What are the simple patterns that nuclei display and what is their origin ?**



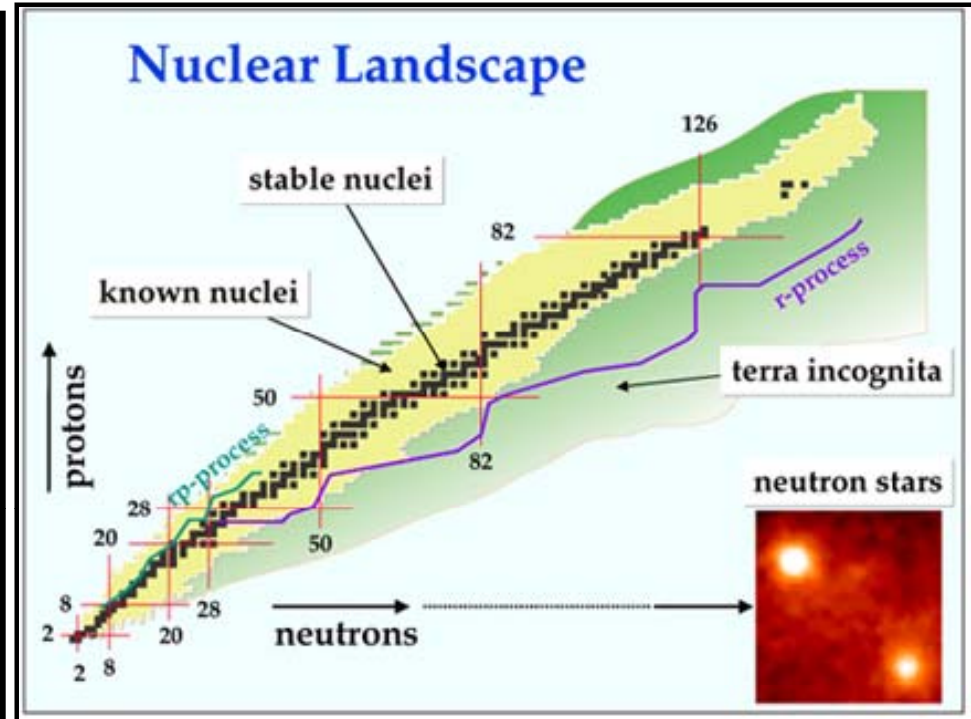
# Where do nuclei fit into the overall picture?



# The scope of Nuclear Structure Physics

## The Four Frontiers

1. Proton Rich Nuclei
2. Neutron Rich Nuclei
3. Heaviest Nuclei
4. Evolution of structure within these boundaries



Terra incognita — huge **gene pool** of new nuclei

We can customize our system – fabricate “designer” nuclei to *isolate and amplify* specific physics or interactions

# A confluence of advances leading to a great opportunity for science

Production and extraction of exotic nuclei

Stable Beams

New detectors, separators, traps,  
and experimental techniques

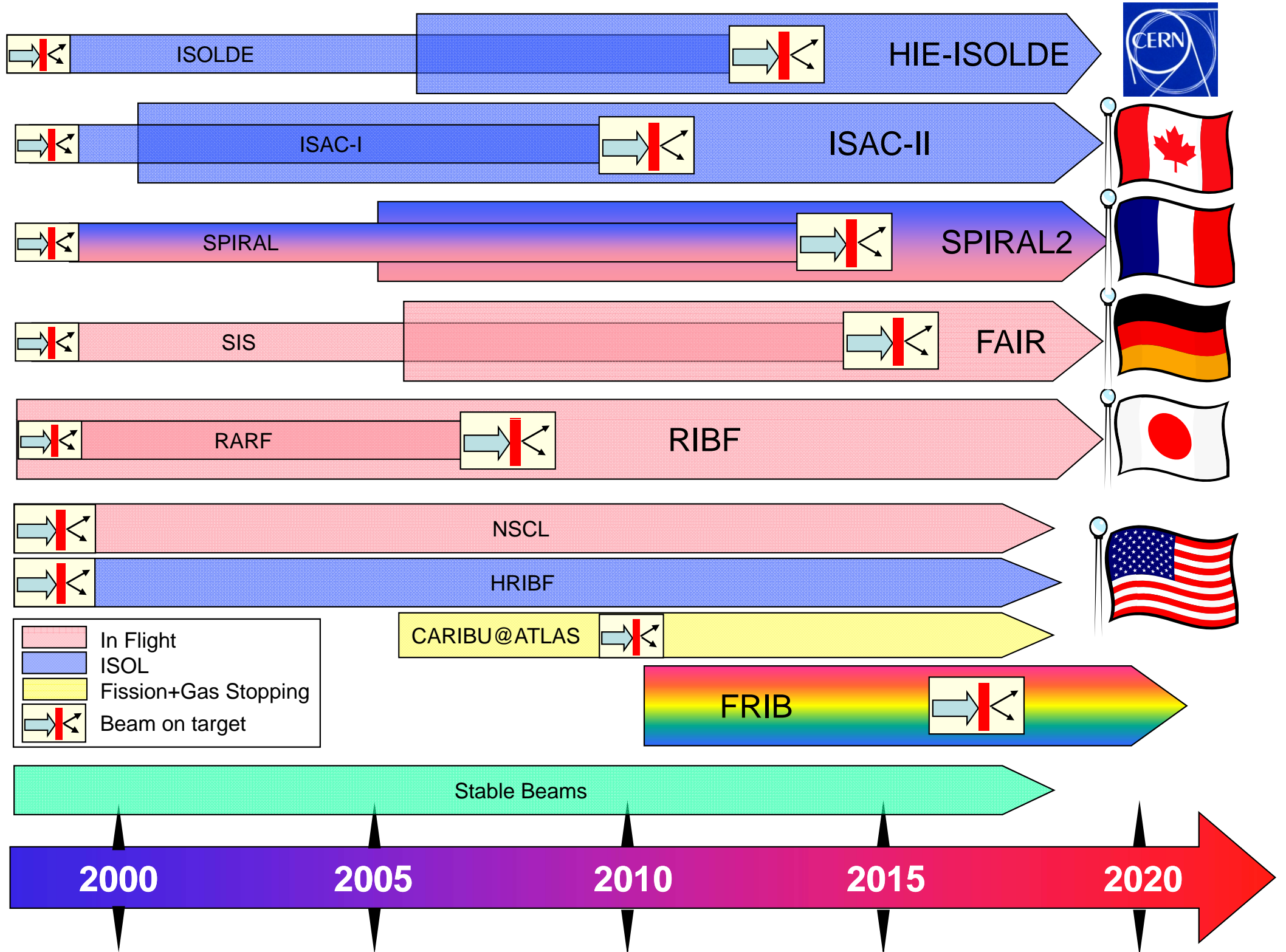
Advanced computing for data  
acquisition, analysis, and theory

Guided by theory

**A new  
era in  
the science  
of nuclei,  
and their  
applications**

**This enterprise depends critically on a  
continuing influx of bright new people into the field**

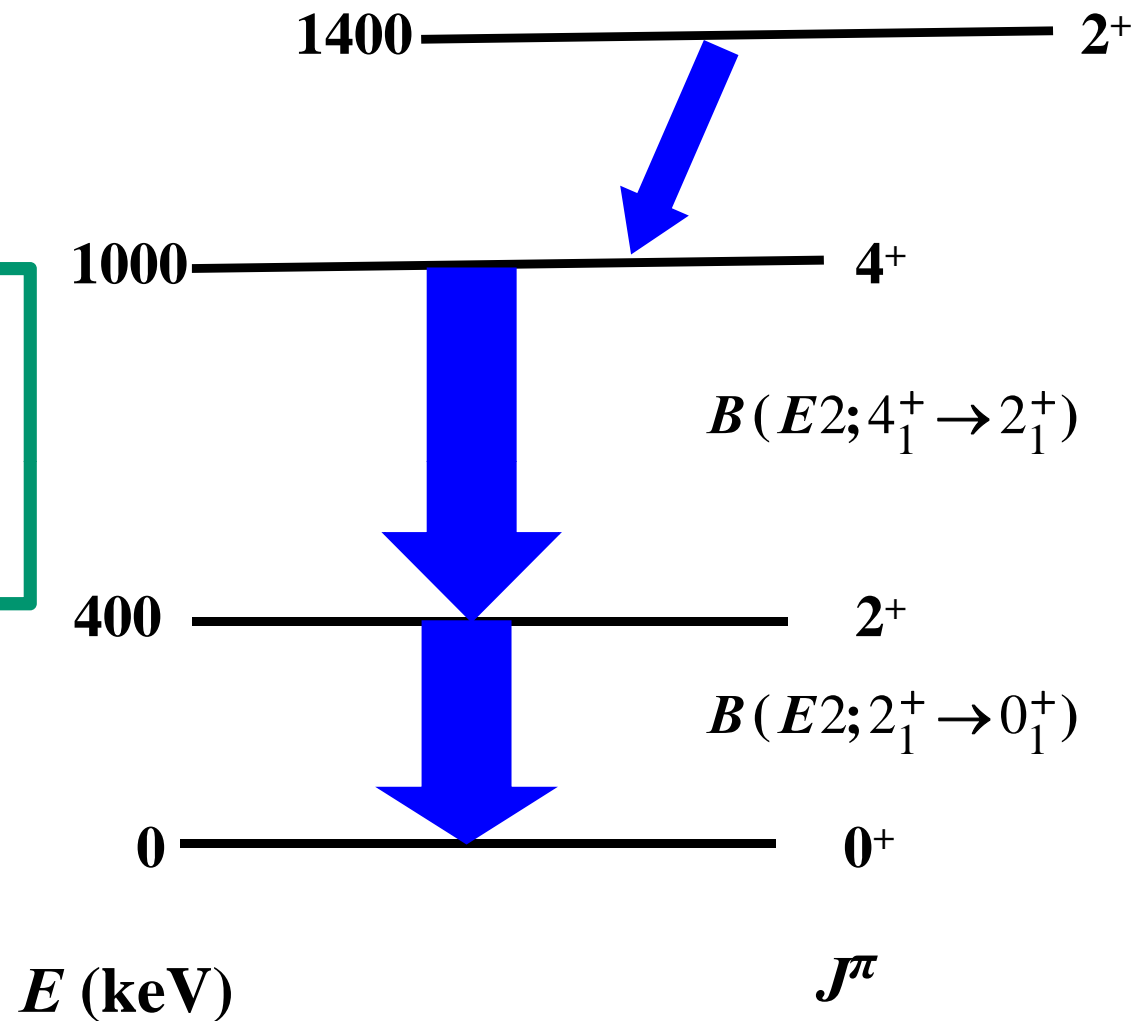




# Simple Observables - Even-Even Nuclei

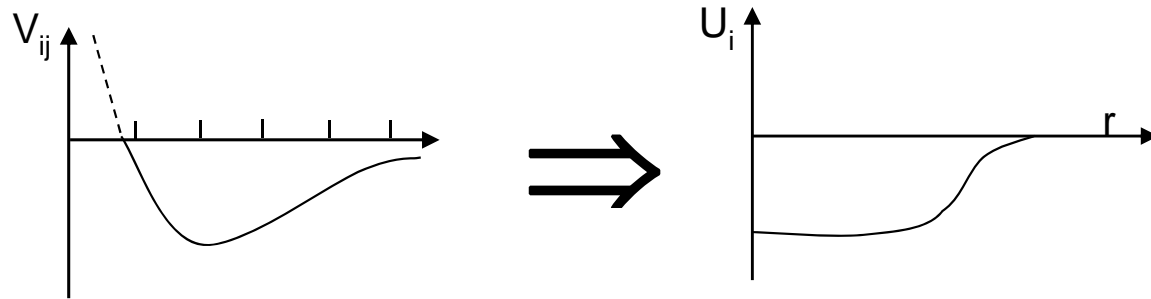
$$R_{4/2} = \frac{E(4_1^+)}{E(2_1^+)}$$

**Masses,  
Radii**



$$B(E2; J_i \rightarrow J_f) \equiv \frac{1}{2J_i + 1} \langle \Psi_i || E2 || \Psi_f \rangle^2$$

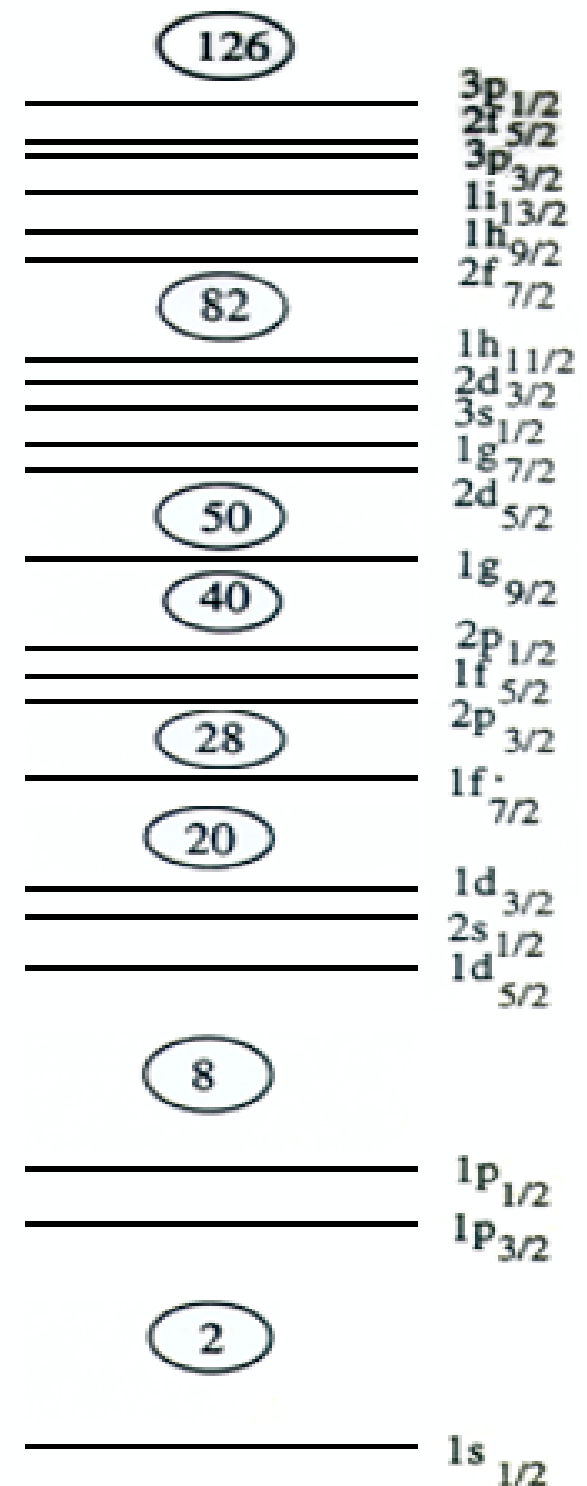
# Reminder slide: The Independent Particle Model



**Clusters of levels + Pauli Principle  $\rightarrow$  magic numbers, inert cores, valence nucleons**

**Key to structure. Many-body  $\rightarrow$  few-body: each body counts.**

**(Addition of 2 neutrons in a nucleus with 150 can drastically alter structure)**





# Residual Interactions

Need to consider a more complete Hamiltonian:

$$H_{\text{Shell Model}} = H_{\text{IPM}} + H_{\text{residual}}$$

$H_{\text{residual}}$  reflects interactions not in the single particle potential.

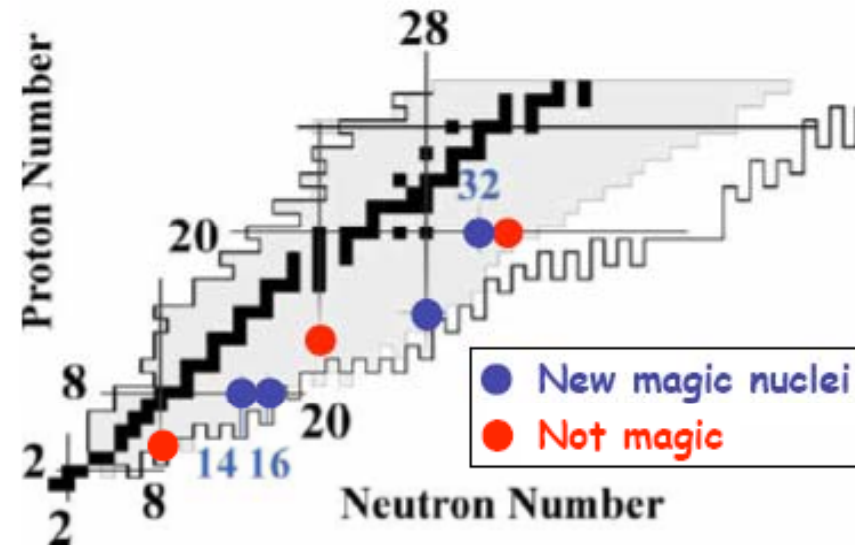
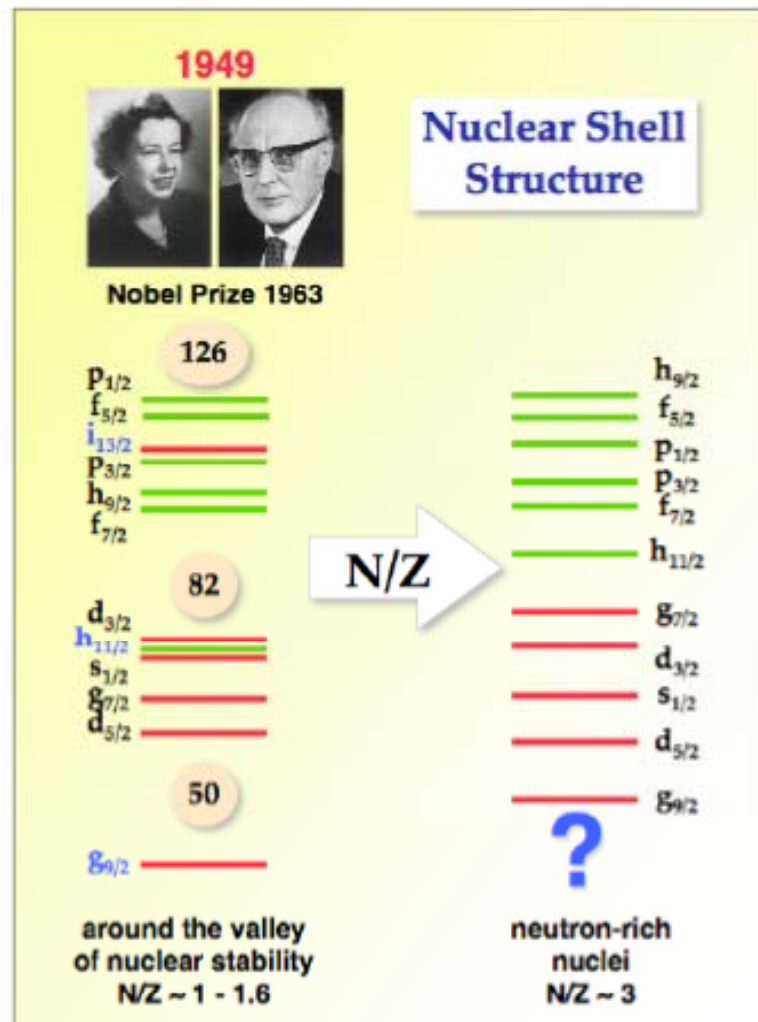
**NOT** a minor perturbation. In fact, these residual interactions determine almost everything we know about most nuclei.

These interactions mix different independent particle model wave functions so that a physical wave function for a given state in the Shell Model is a linear combination of many independent Particle Model configurations.

This mixing is essential to understanding structure and structural evolution.

# Caveat slide: Fragility of the Shell Model

## Independent Particle Model – Trouble in Paradise



No shell closure for  $N=8$  and  $20$  for drip-line nuclei; new shells at  $14, 16, 32\dots$

How can we see changes in shell structure experimentally.

We will soon see one easy tool:  $E(2^+_1)$

# Key Nuclear observables and their behavior with N and Z

What nuclei do, how we study them (what observables), and some simple ideas about structure – single particle and collective aspects

**Remember: The nuclei are always right !!! Don't impose our preconceptions on them. Let them tell us what they are doing.**

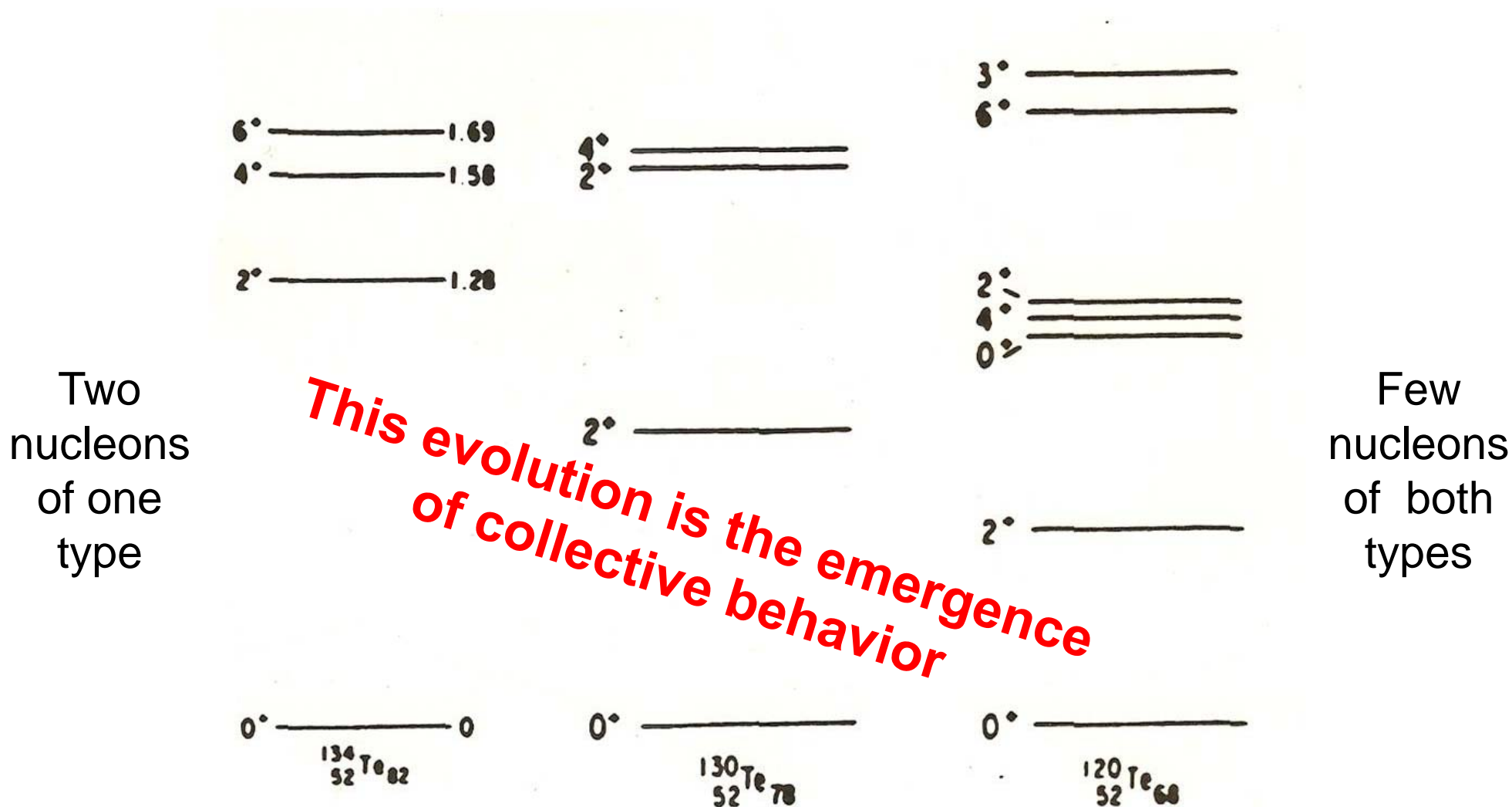
# Let's start with $R_{4/2}$ . How does it vary, and why, and why do we care

- We care because it is the almost the **only observable whose value immediately tells us something** (not everything – as we on shall see in the third lecture on the IBA model!!!) about the structure.
- We care because it is easy to measure.
- Other observables, like  $E(2_1^+)$  and masses, are measurable even further from stability. They too can give valuable information in the context of regional behavior, but generally not as directly.

Starting from a doubly magic nucleus, what happens as the numbers of valence neutrons and protons **increase**?

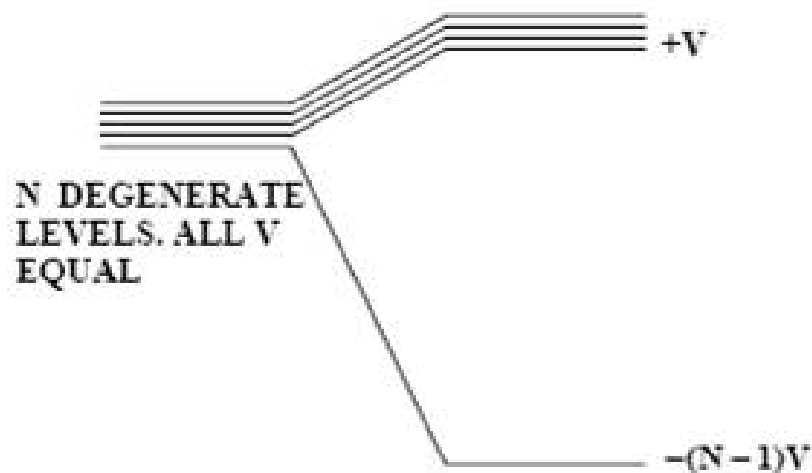
Case of **few** valence nucleons:

Lowering of energies, development of multiplets.  $R_{4/2} \rightarrow \sim 2-2.4$



# Origin of collectivity: Mixing of many configurations

Consider a toy model: Mixing of degenerate states



$$\Psi_{\text{LOWEST}} = \frac{1}{\sqrt{N}} [\phi_1 + \phi_2 + \dots + \phi_N]$$

This is the origin of collectivity in nuclei.

This is about as important as it gets.

Please remember it and think about it often (and try to develop a deep love for it).



# Types of collective structures

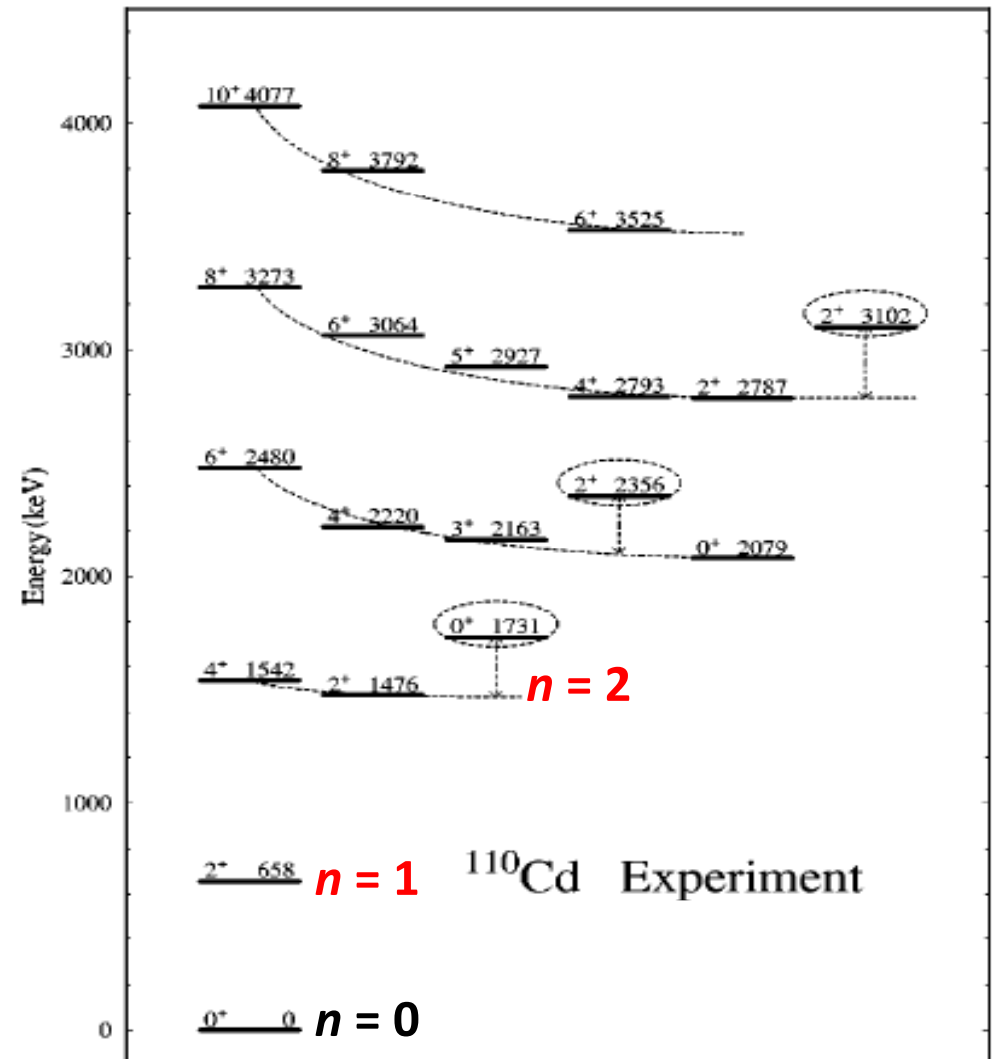
## Few valence nucleons of each type:

### The spherical vibrator

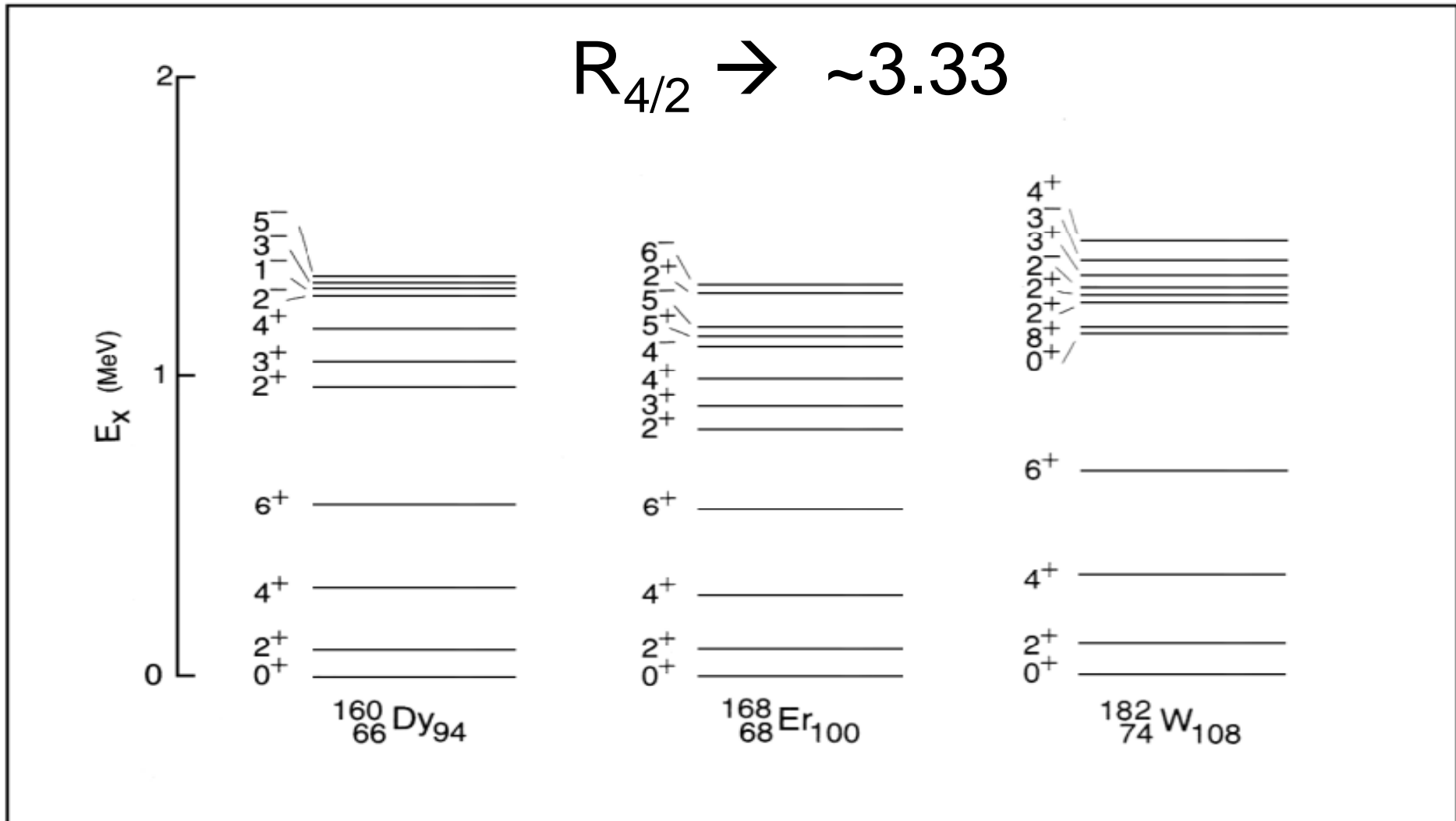
Vibrator (H.O.)

$$E(J) = n (\hbar \omega_0)$$

$$R_{4/2} = 2.0$$



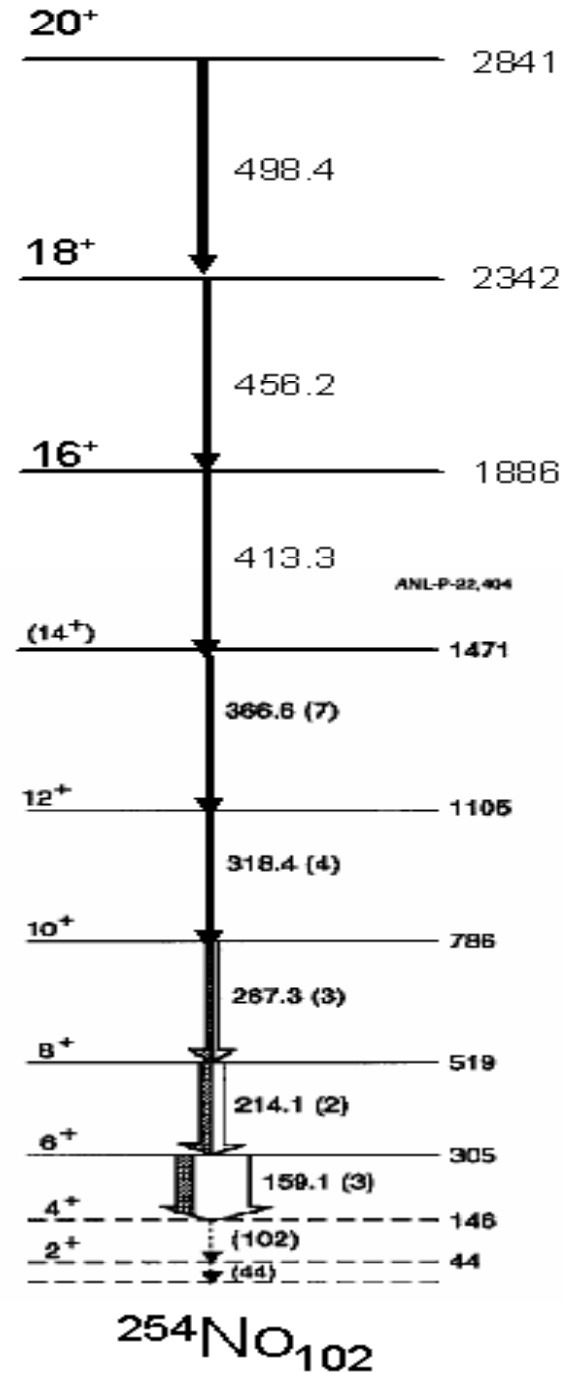
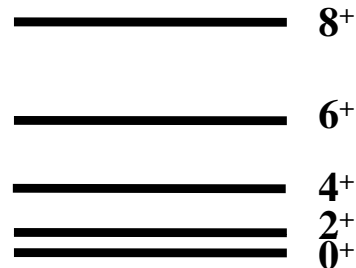
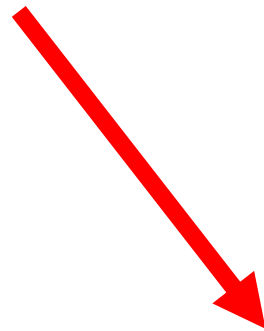
**Lots** of valence nucleons of **both** types:  
emergence of deformation and therefore rotation (nuclei  
live in the world, not in their own solipsistic enclaves)



# Rotor

$$E(J) \propto (\hbar^2/2J)J(J+1)$$

$$R_{4/2} = 3.33$$



## Doubly magic plus 2 nucleons

$$R_{4/2} < 2.0$$

## Vibrator (H.O.)

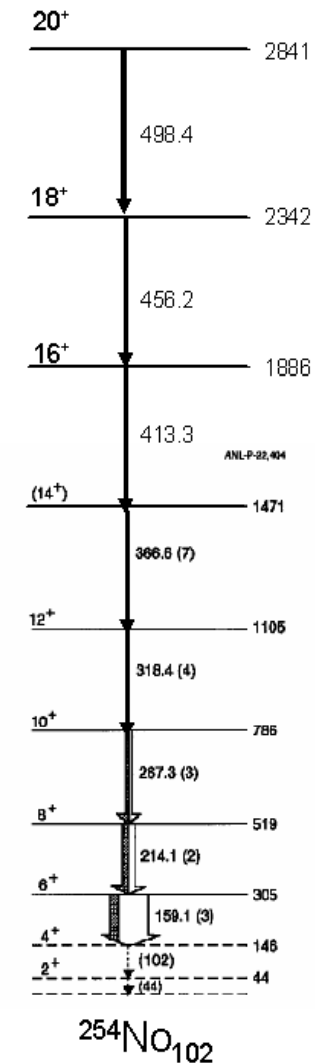
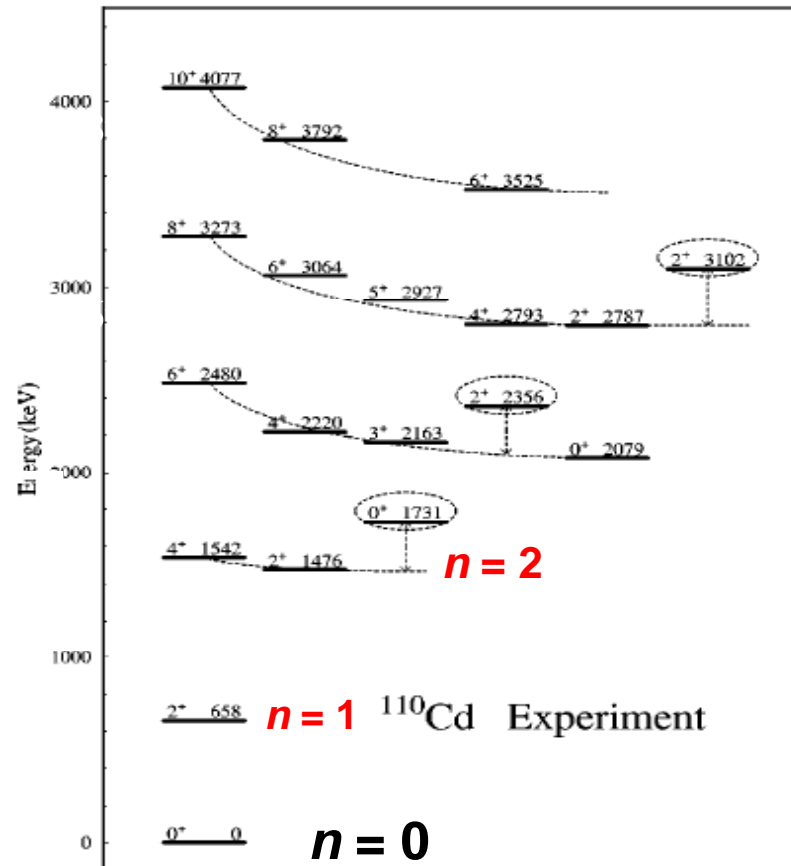
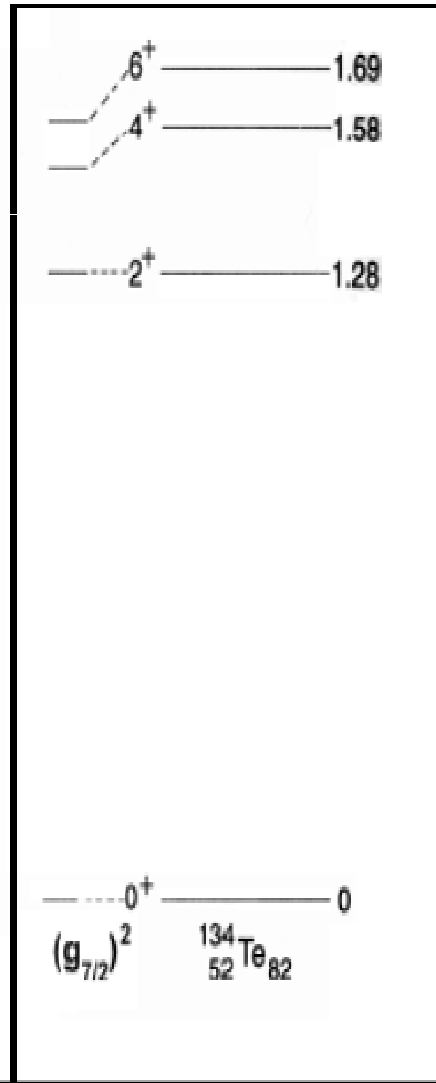
$$E(J) = n (\hbar \omega_0)$$

$$R_{4/2} = 2.0$$

## Rotor

$$E(J) \propto (\hbar^2/2I)J(J+1)$$

$$R_{4/2} = 3.33$$



Value of paradigms

6+ ————— 690

4+ ————— 330

2+ ————— 100

0+ ————— 0

J                      E (keV)



Without  
rotor  
paradigm

Paradigm  
Benchmark

700

333

100

0

Rotor  $J(J + 1)$

Amplifies  
structural  
differences

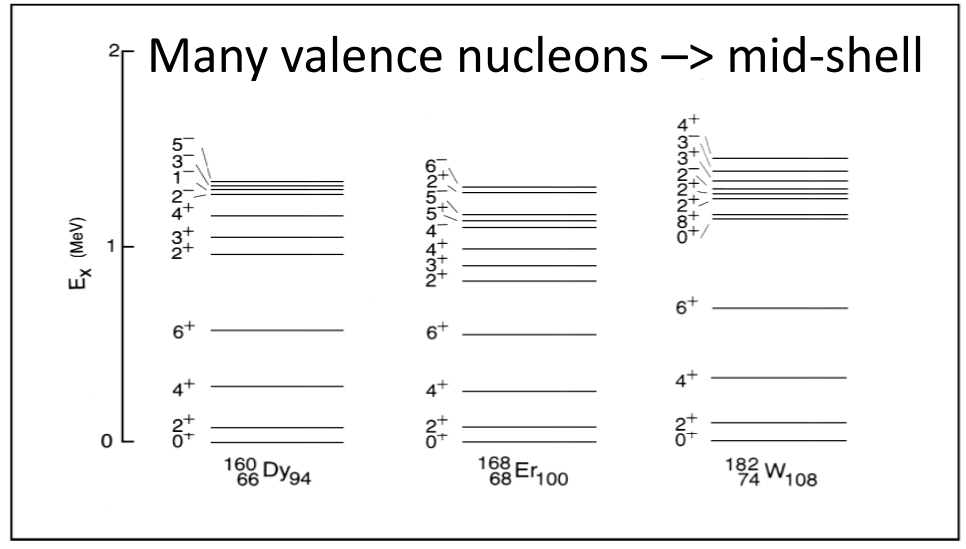
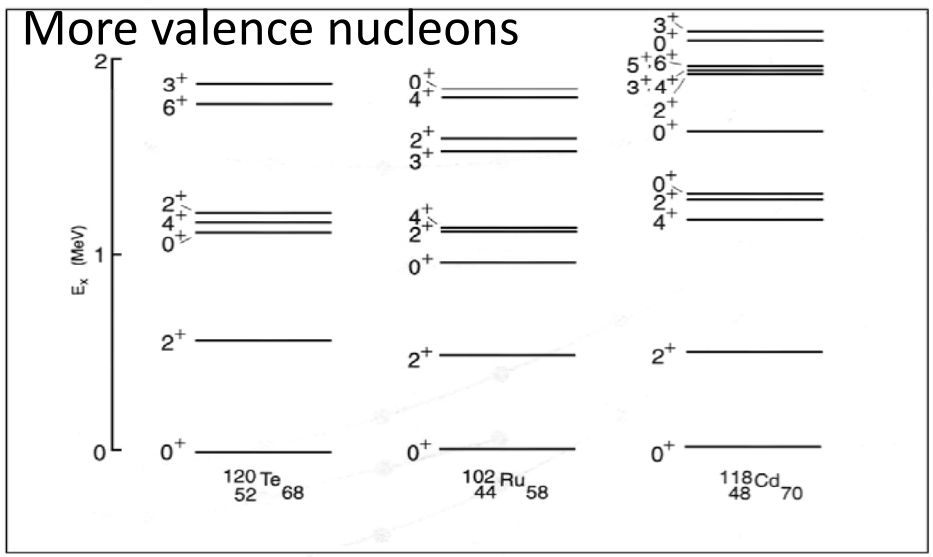
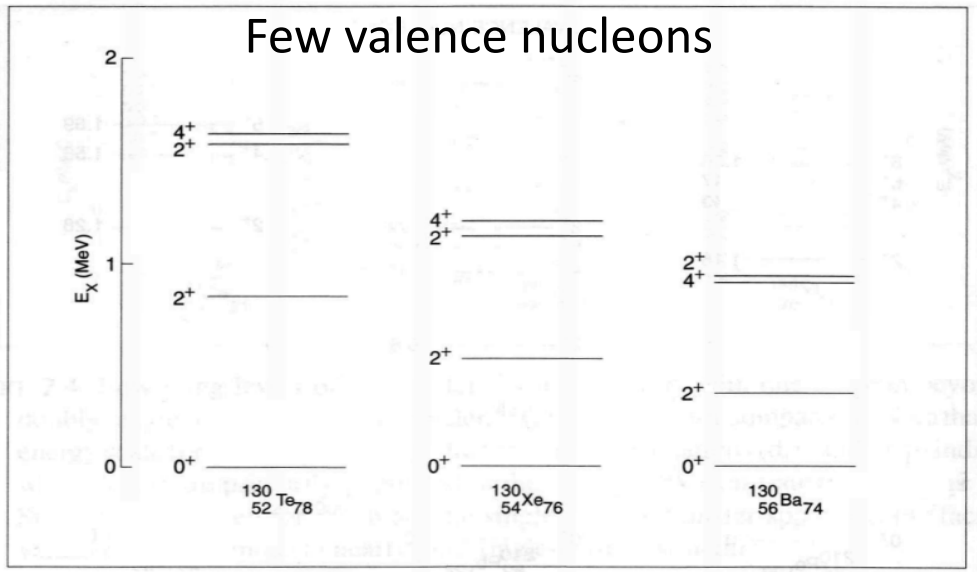
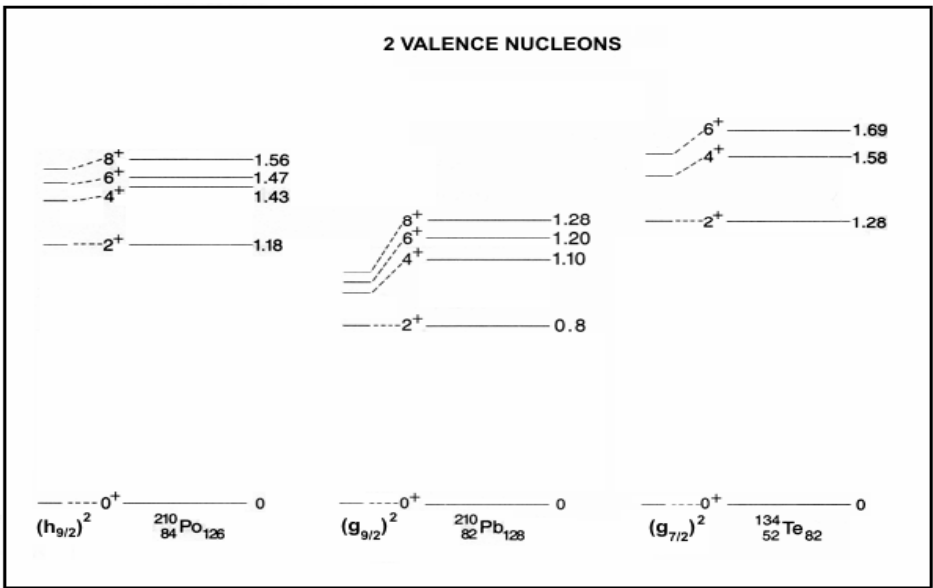
Centrifugal  
stretching

Deviations



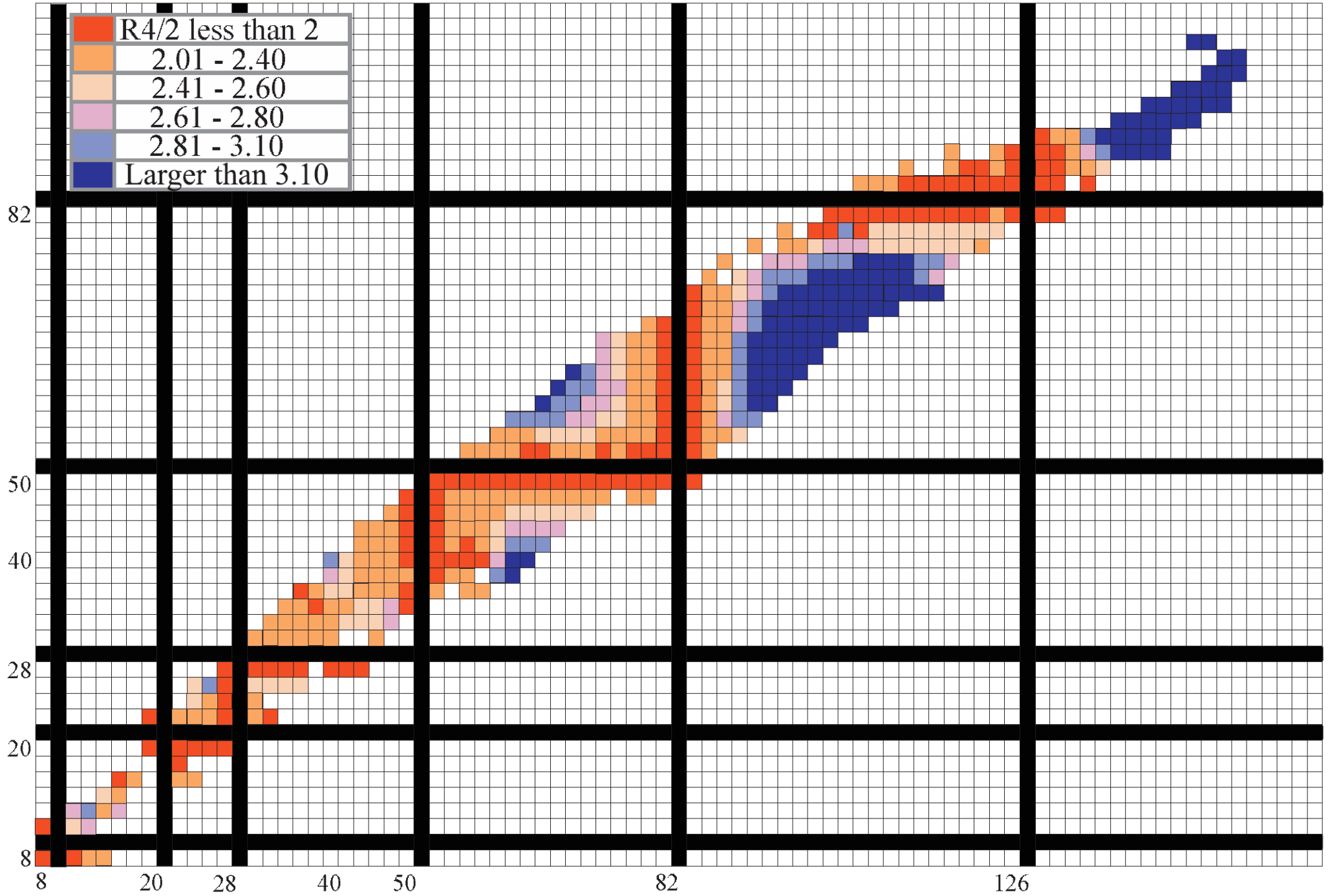
Identify additional  
degrees of freedom

# Reminder of several types of spectra and where they occur

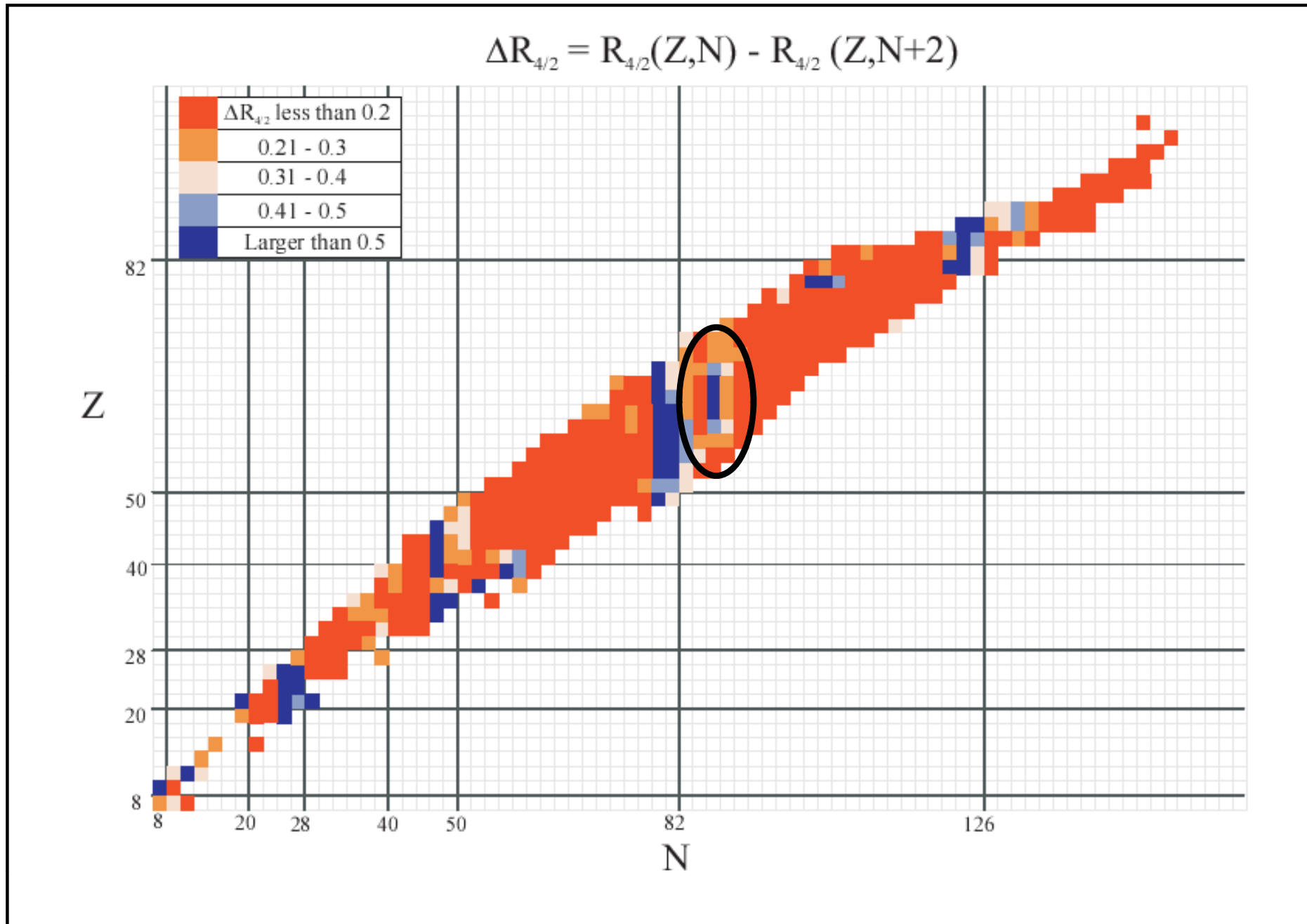




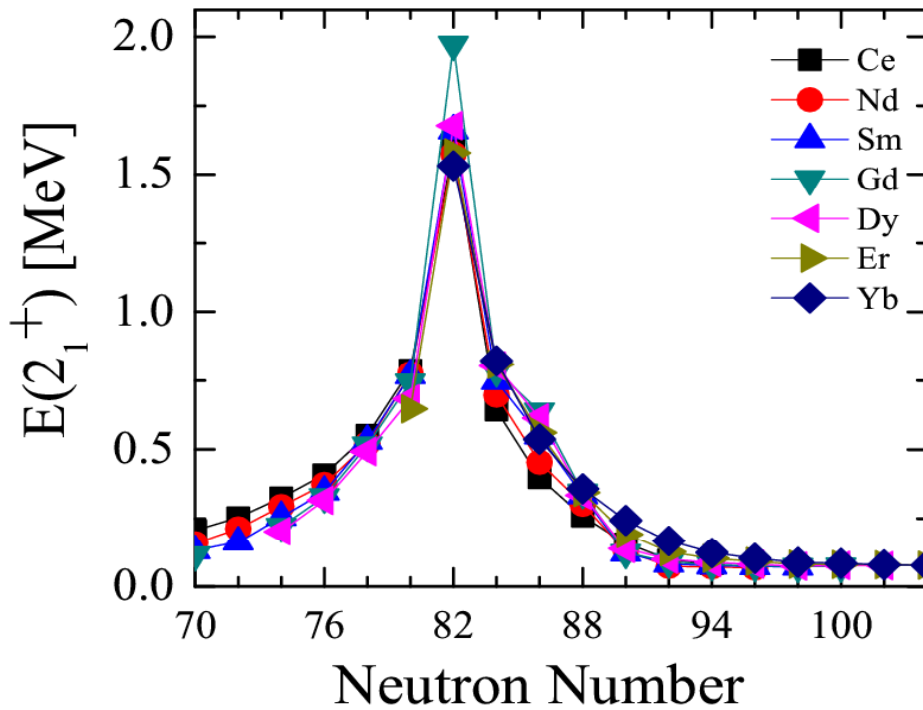
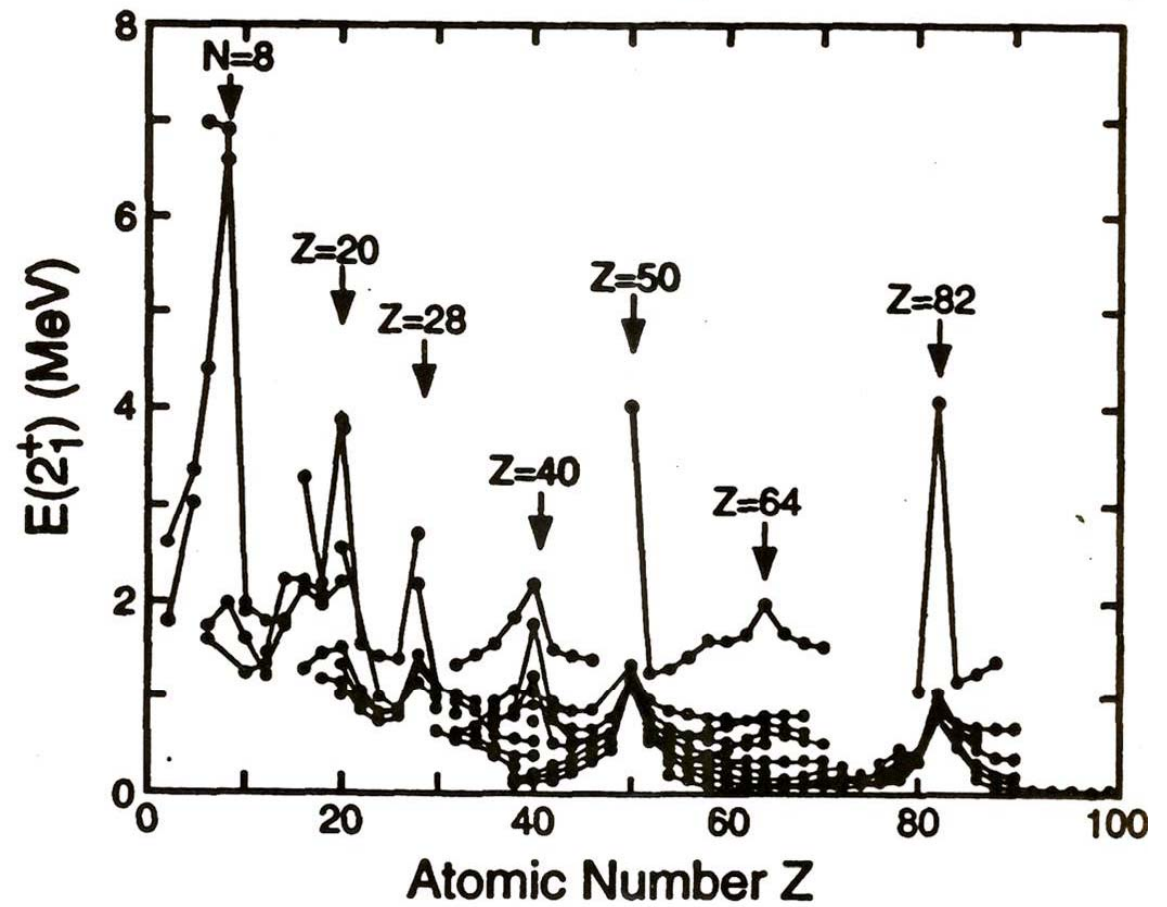
# Broad perspective on structural evolution



# A special phenomenon – rapid structural change

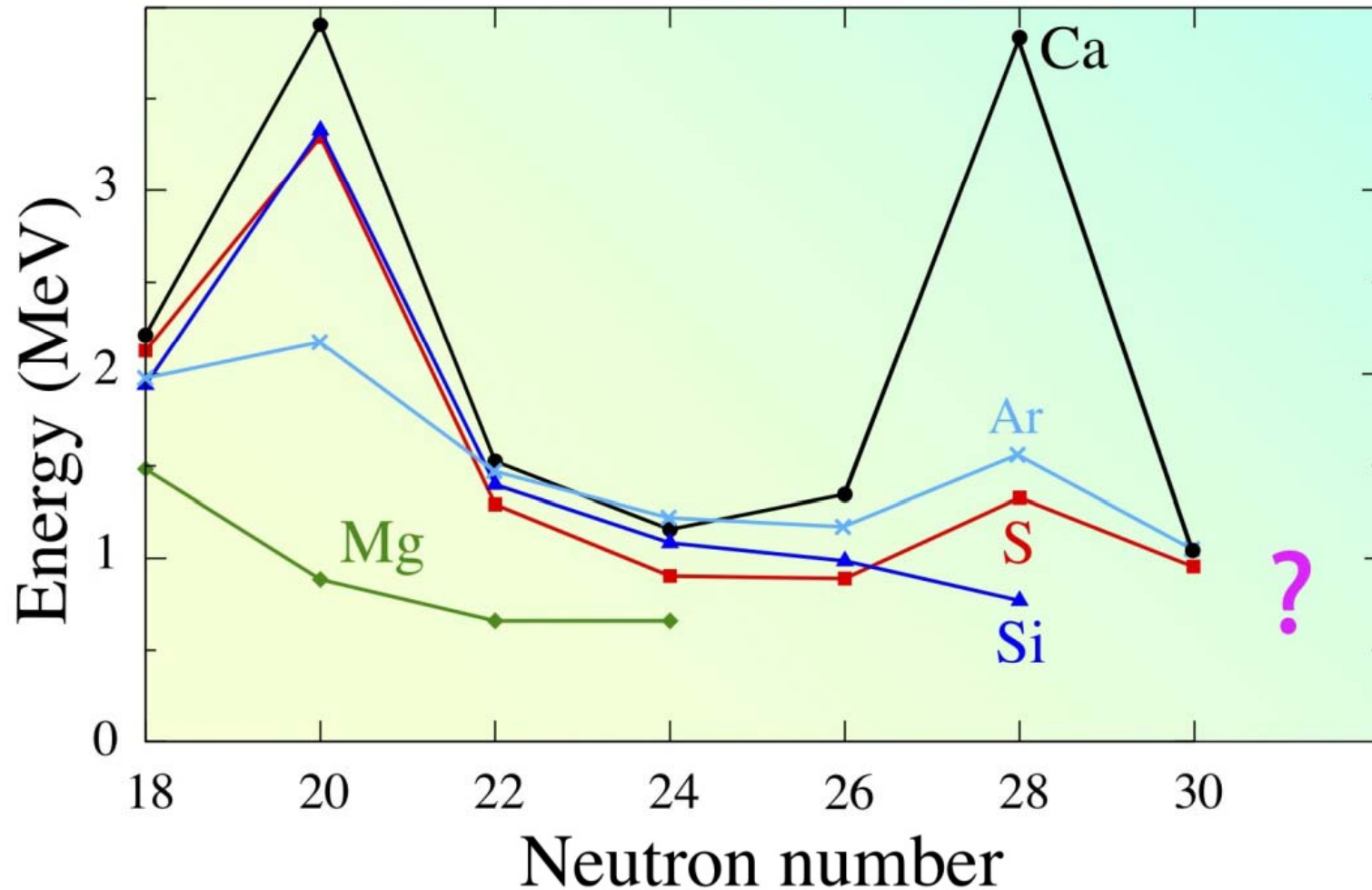


# $E(2^+_1)$



$E(2^+_1)$   
a simple measure of  
collectivity

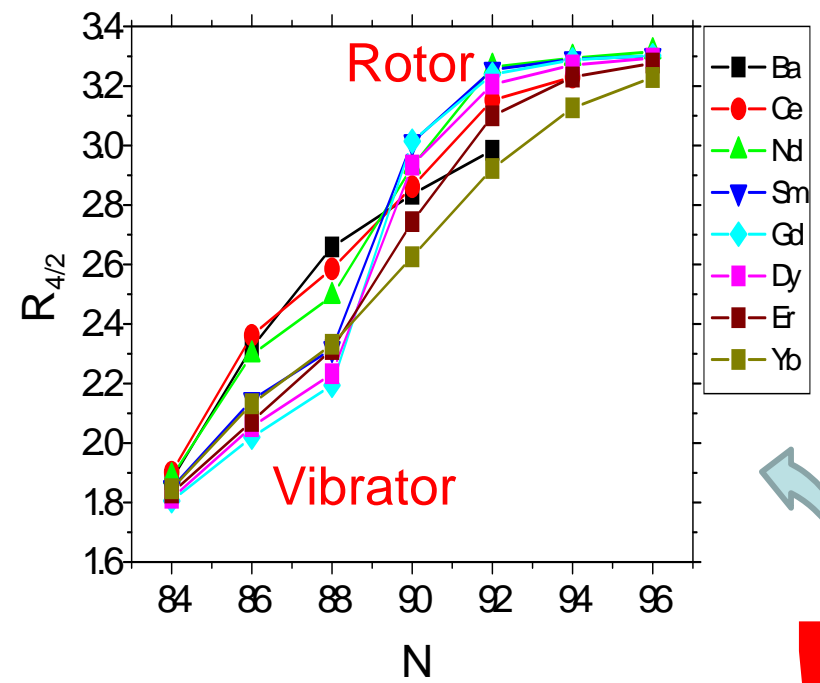
## 2<sup>+</sup> levels in neutron-rich nuclei



**Note that N = 20 is NOT magic for Mg and N = 28 is NOT magic for Si and S !!!! Studying the evolution of shell structure is one of the most active and important areas of nuclear structure research today.**

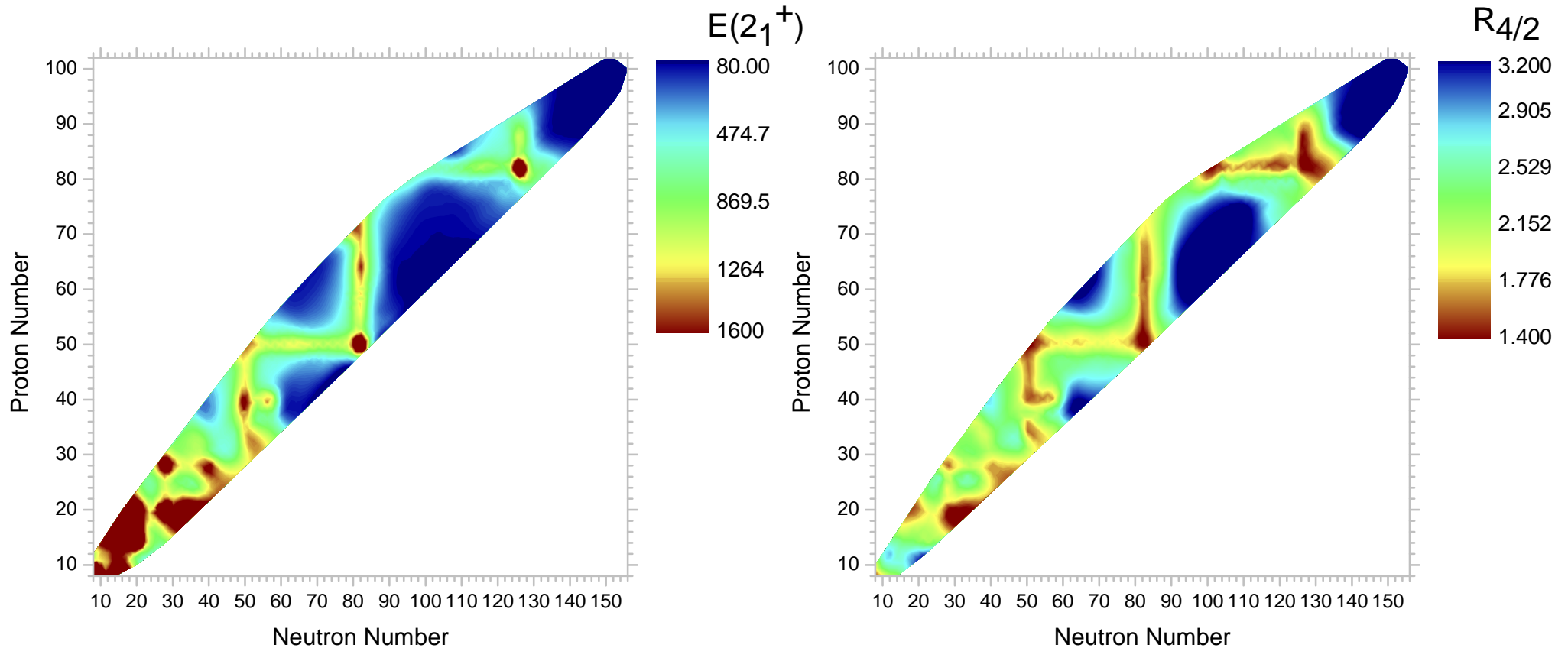
$R_{4/2}$  and  $E(2^+_{1})$

$R_{4/2}$  across a typical region



# Broad perspective on structural evolution

## Z=50-82, N=82-126



The remarkable regularity of these patterns is one of the beauties of nuclear systematics and one of the challenges to nuclear theory.

Whether they persist far off stability is one of the fascinating questions for the future



**Think about the striking regularities in these data.**

**Take a nucleus with  $A \sim 100-200$ . The summed volume of all the nucleons is  $\sim 60\%$  the volume of the nucleus, and they orbit the nucleus  $\sim 10^{21}$  times per second!**

**Instead of utter chaos, the result is very regular behavior, reflecting ordered, coherent, motions of these nucleons.**

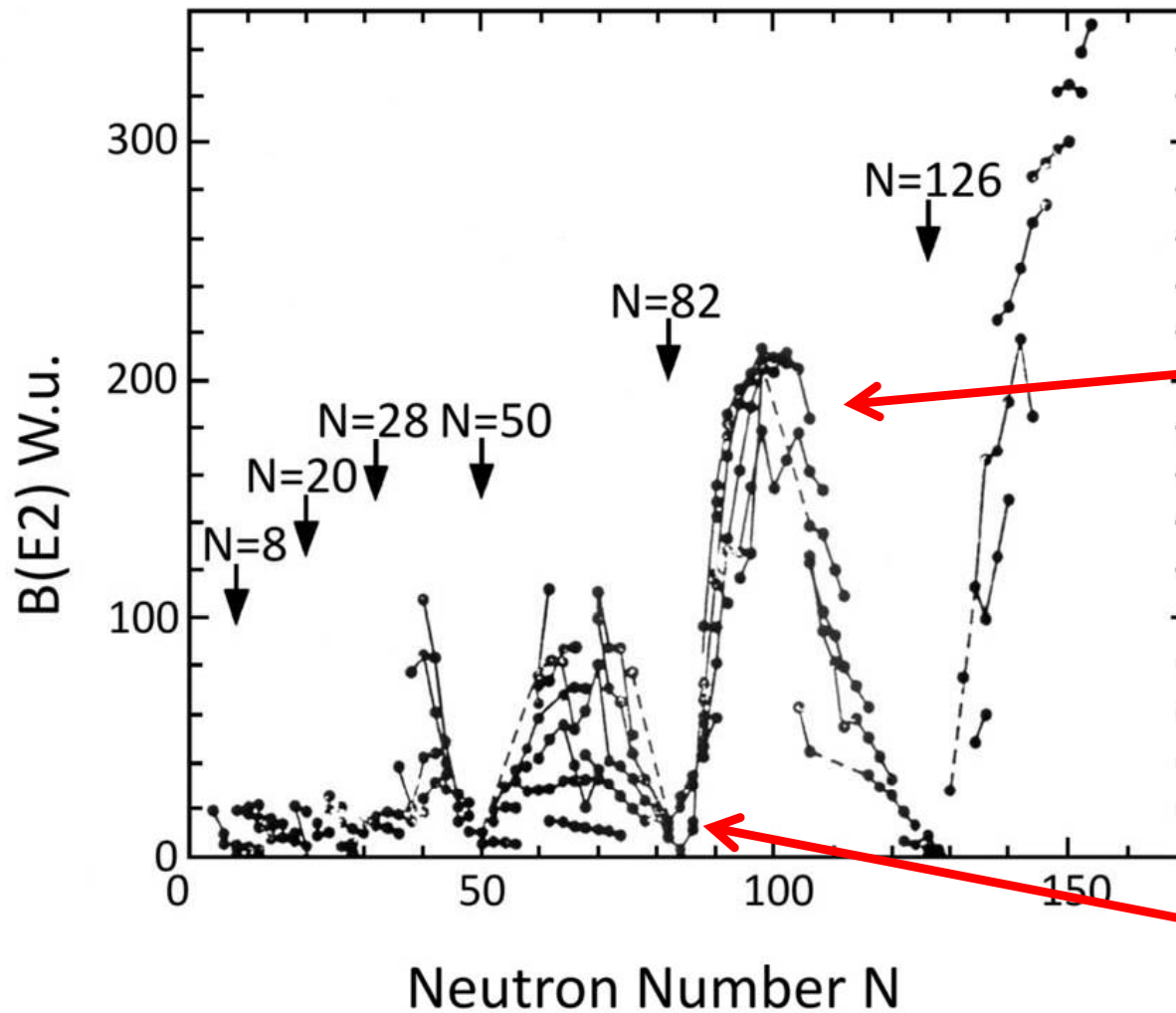
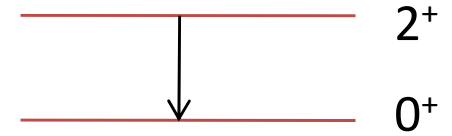
**This should astonish you.**

**How can this happen??!!!!**

**Much of understanding nuclei is understanding the relation between nucleonic motions and collective behavior**

# Transition rates (half lives of excited levels) also tell us a lot about structure

$$B(E2: 0^+_1 \rightarrow 2^+_1) \propto \langle 2^+_1 || E2 || 0^+_1 \rangle^2$$

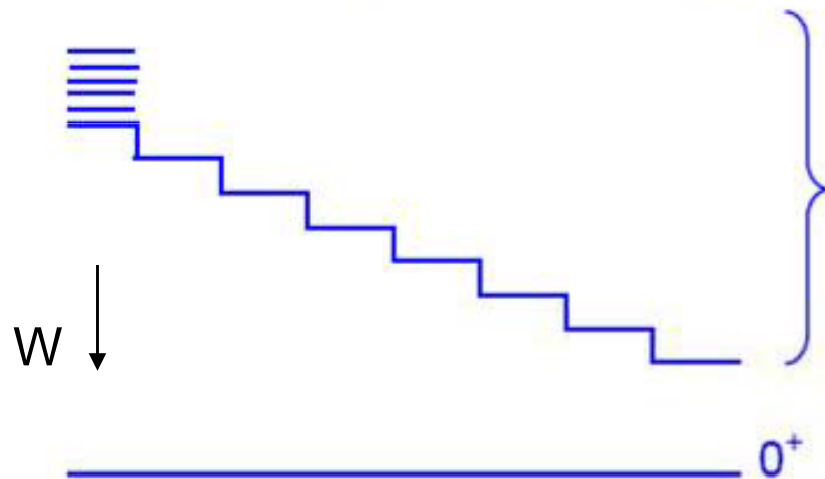


**Collective**

**Magic**

## Coherence and Transition Rates

Consider simple case of  $N$  degenerate levels:  $2^+$



$$\Delta E = (N-1)V$$

$$\Psi = a\phi_1 + a\phi_2 + \dots + a\phi_N$$

$$\text{where } a = \frac{1}{\sqrt{N}}$$

$$\left( \sum_{i=1}^N a^2 = \frac{N}{N} = 1 \right)$$

Consider transition rate from  $2_1^+ \rightarrow 0_1^+$

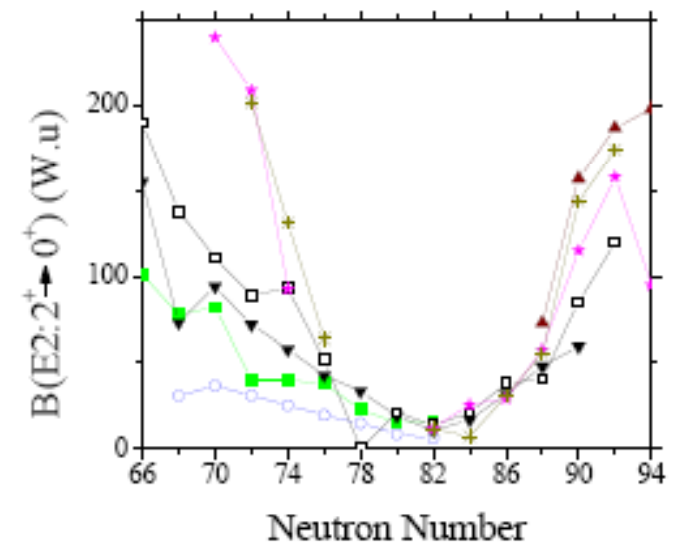
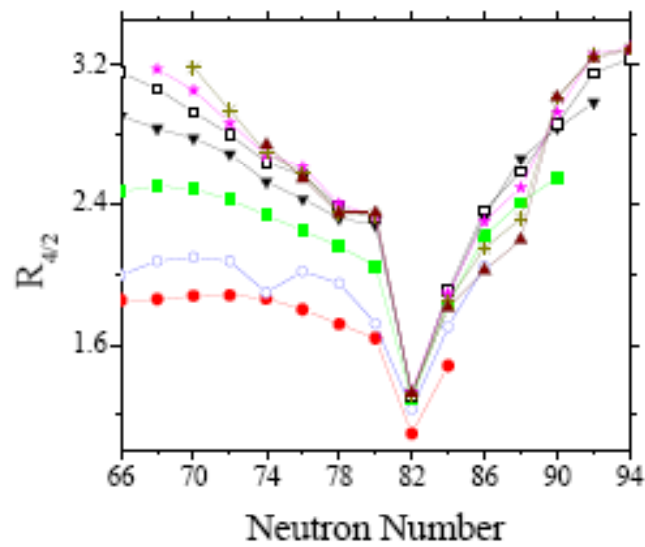
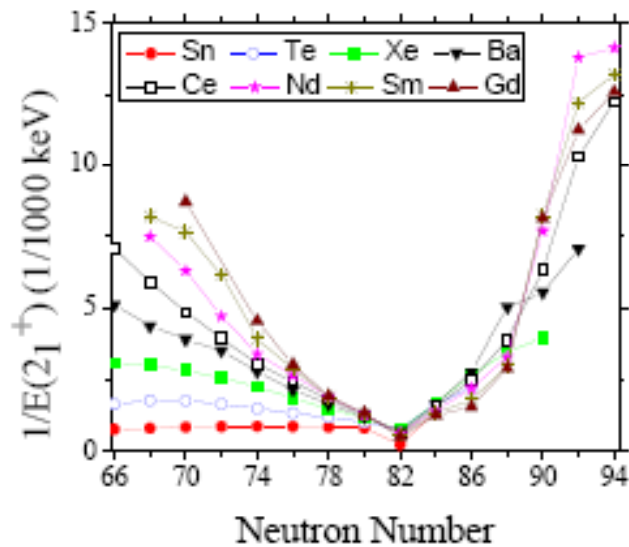
$$B(E2; 2_1^+ \rightarrow 0_1^+) = \frac{1}{2J_i + 1} \langle 0_1^+ \| E2 \| 2_1^+ \rangle^2$$

$$\langle 0_1^+ \| E2 \| 2_1^+ \rangle = \langle 0_1^+ \| E2 \| \Psi \rangle = a \sum_{i=1}^N \langle 0_1^+ \| E2 \| \phi_i \rangle$$

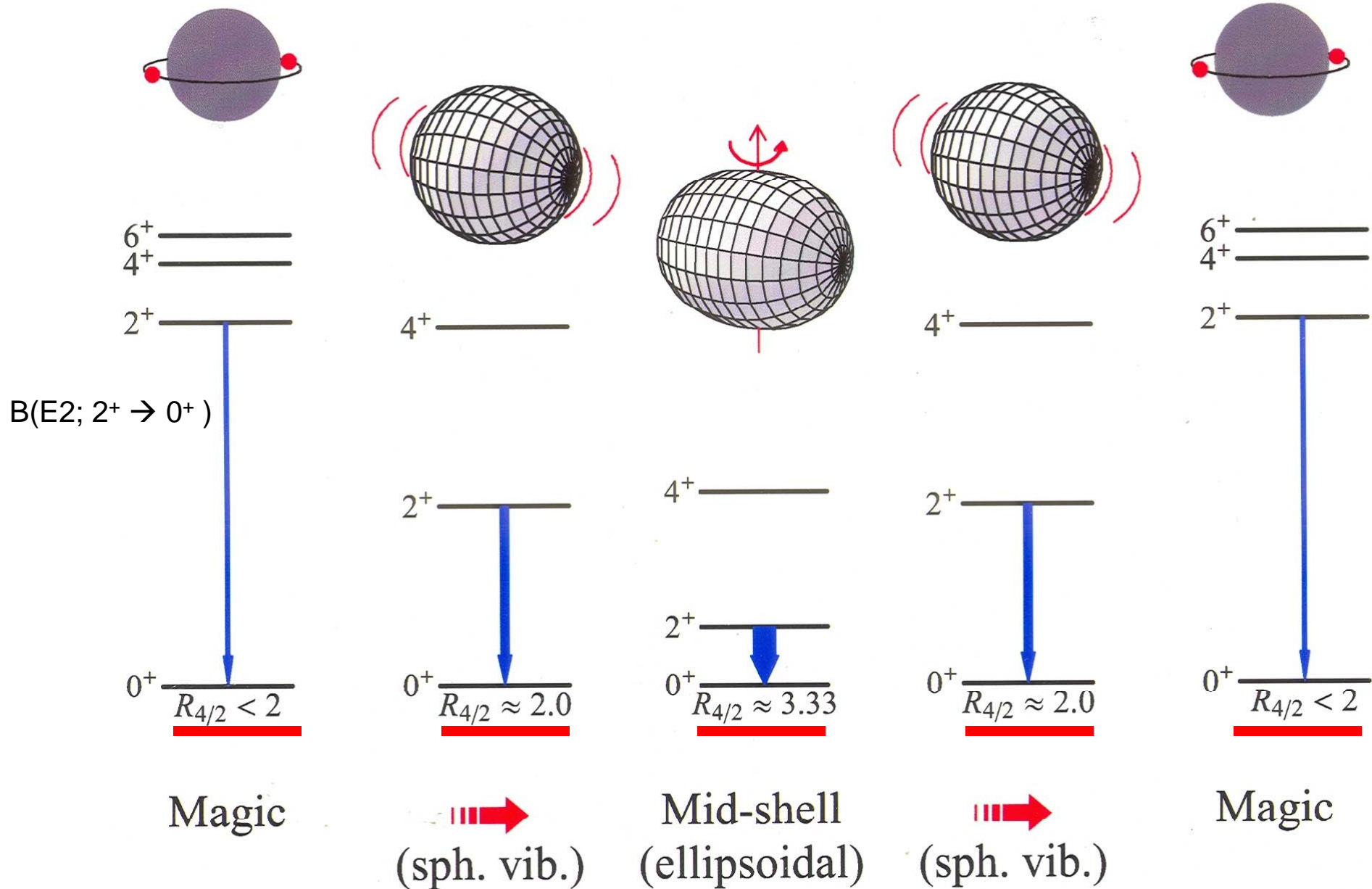
The more configurations that mix, the stronger the  $B(E2)$  value and the lower the energy of the collective state.

Fundamental property of collective states.

# Alternate look: Behavior of key observables centered on a shell closure



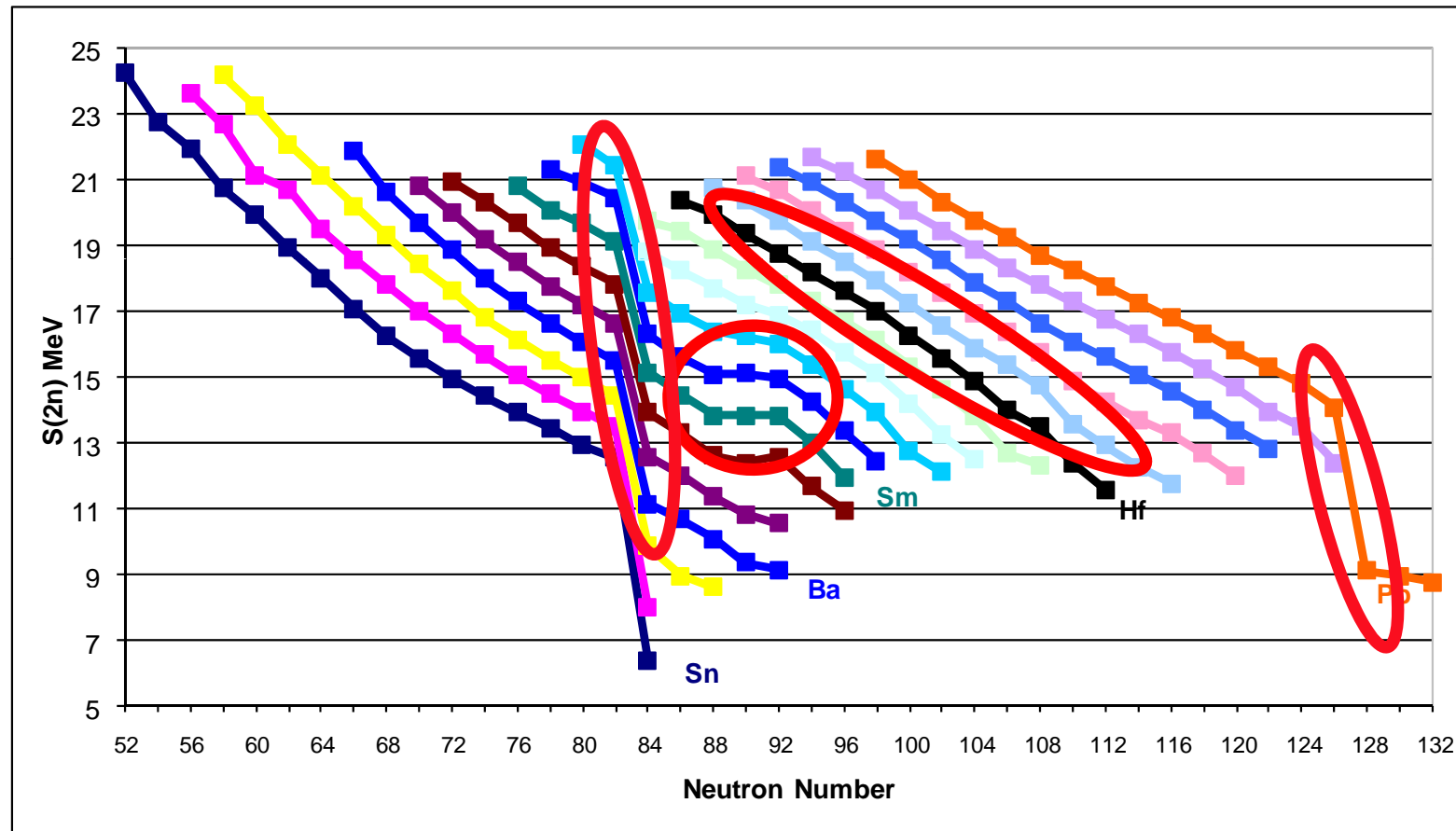
# Evolution of nuclear structure

  
 (as a function of nucleon number)


# Two-neutron separation energies

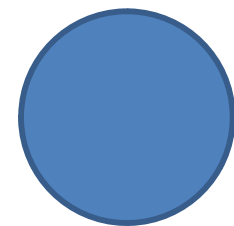
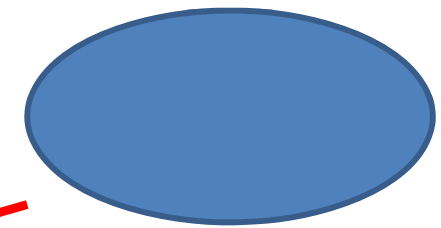
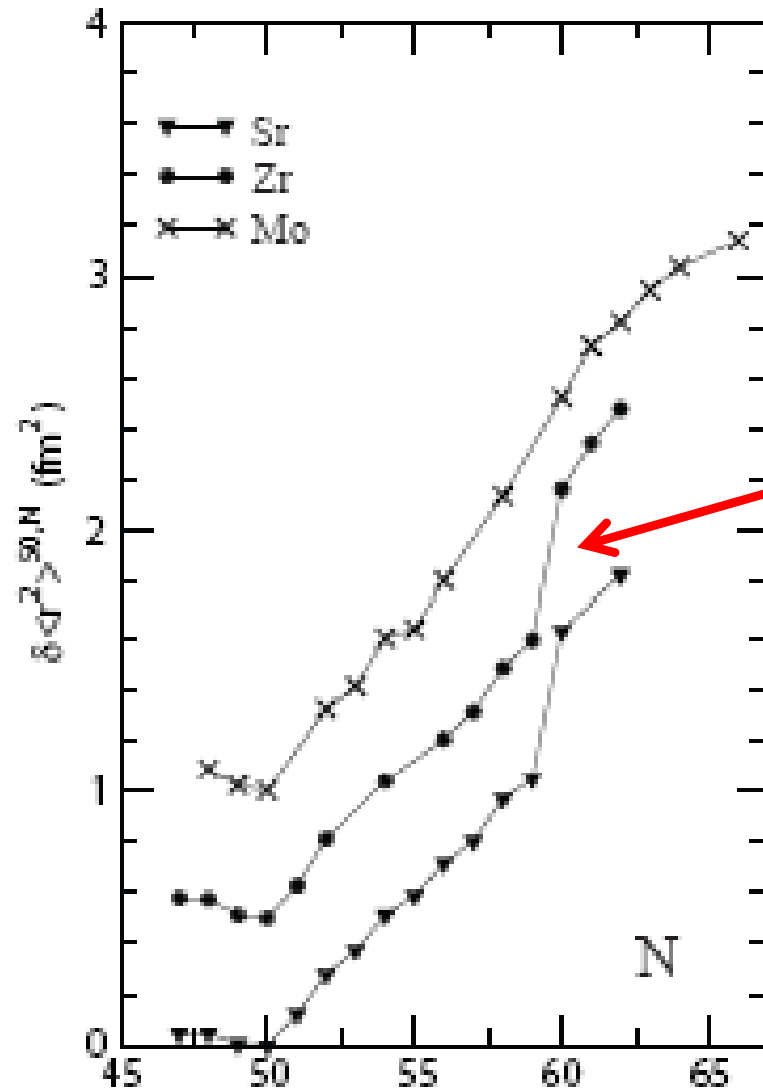
Normal behavior: linear segments with drops after closed shells  
Discontinuity at first order phase transitions

$S_{2n} = A + BN + S_{2n}(\text{Coll.})$





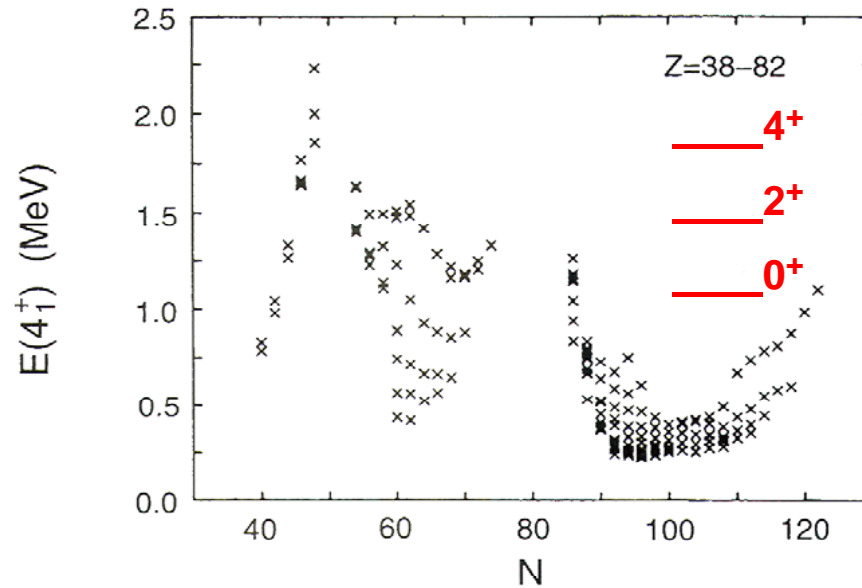
# Isotope Shifts – sensitive to structural changes, especially deformation



So far, everything we have plotted has been an individual observable against N or Z (or A)

Now we introduce the idea of correlations of **different** observables with **each other**.

# Correlations of Collective Observables



There is only  
one  
appropriate  
reaction to this  
result ....

**Wow**  
**!!!!!!!!!!**

There is only one worry, however .... accidental or false  
correlations. Beware of lobsters !!!

LOBSTERS

FOR  
RENT

9/4/06/16



# How can we understand collective behavior

- Do microscopic calculations, in the Shell Model or its modern versions, such as with density functional theory or Monte Carlo methods. These approaches are making **amazing progress** in the last few years. Nevertheless, they often do not give an intuitive feeling for the structure calculated.
- Collective models, which focus on the structure and symmetries of the many-body, macroscopic system itself. Two classes: Geometric and Algebraic

**Geometrical models introduce a potential which depends on the shape of the nucleus. One can then have rotations and vibrations of that shape.**

**Algebraic models invoke symmetries of the nucleus and use group theoretical approaches to solve as much as possible analytically.**

# Nuclear Shapes

- Need to specify the shape. Need two parameters,  $\beta$  and  $\gamma$ . The concept of “intrinsic frame”.
  - $\beta$  specifies the ellipsoidal deformation of the shape. (We consider quadrupole shapes only – American football or frisbee shapes.)
  - $\gamma$  specifies the amount of axial asymmetry
- $H = T + V(\beta, \gamma)$                       Models are primarily a question of choosing  $V(\beta, \gamma)$
- Kinetic energy contains rotation if the nucleus is not spherical. So we must specify orientation of the nucleus in space (the lab frame). Introduces three more coordinates, Euler angles.



# The Geometric Collective Model

$$H = T + T_{\text{rot}} + V(\beta, \gamma)$$

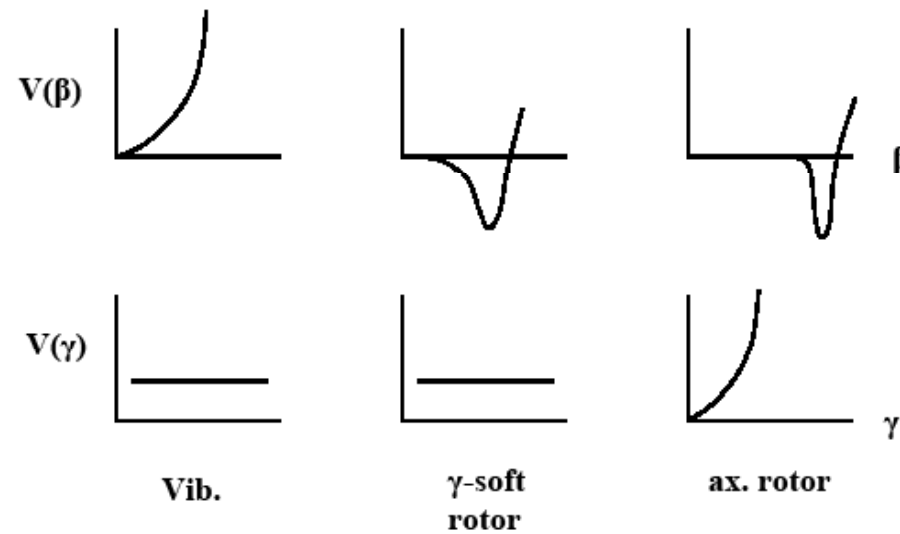
$$V \sim C_2 \beta^2 + C_3 \beta^3 \cos 3\gamma + C_4 \beta^4 + \dots$$

Six terms in all for the potential. These three are normally the only ones used as they allow a rich variety of collective structures without an explosion of parameters. In addition, there is a kinetic energy term.

This is a phenomenological model which cannot predict anything without being “fed”. One selects simple data to help pinpoint the parameters, then uses the model to calculate other observables.



## Geometric Collective Model



**Vibrator:**

$$V = C_2 \frac{1}{\sqrt{5}} \beta^2, \quad C_2 > 0$$

**$\gamma$ -soft:**

$$V = C_2 \frac{1}{\sqrt{5}} \beta^2 + C_4 \frac{1}{5} \beta^4, \quad C_2 < 0, \quad C_4 > 0$$

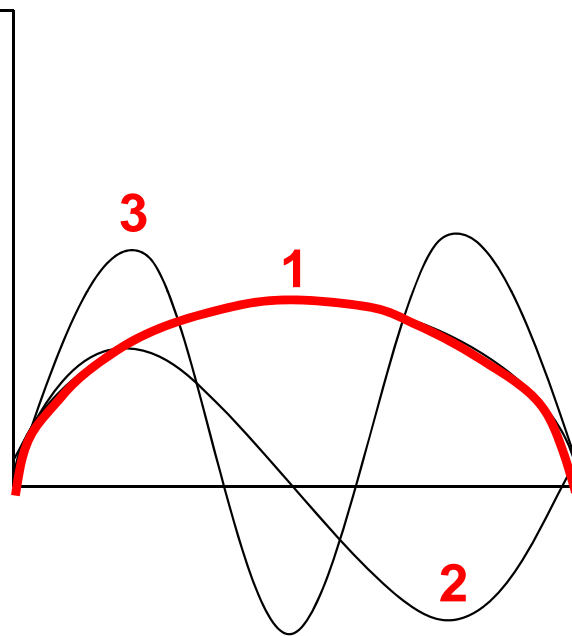
**Rotor:**

$$V = C_2 \frac{1}{\sqrt{5}} \beta^2 - C_3 \sqrt{\frac{1}{35}} \beta^3 \cos 3\gamma + C_4 \frac{1}{5} \beta^4$$

$$C_2 < 0, \quad C_3 > 0, \quad C_4 > 0$$

# Key ingredient: Quantum mechanics -- confinement

Particles in  
a “box” or  
“potential”  
well

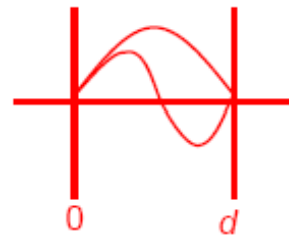


Confinement is  
origin of  
quantized  
energies levels

# Energies in an Infinite Square Well

( box )

Simple Derivation



$$\Psi(0) = \Psi(d) = 0$$

for containment

$$\therefore \frac{n\lambda}{2} = d \quad n = 1, 2, \dots$$

Now, use de Broglie relation

$$p = \frac{h}{\lambda} \quad \text{and} \quad E = \frac{1}{2}mv^2 = \frac{p^2}{2m}$$

or  $p = \sqrt{2mE}$

$$\therefore \frac{nh}{2p} = \frac{nh}{2\sqrt{2mE}} = d$$

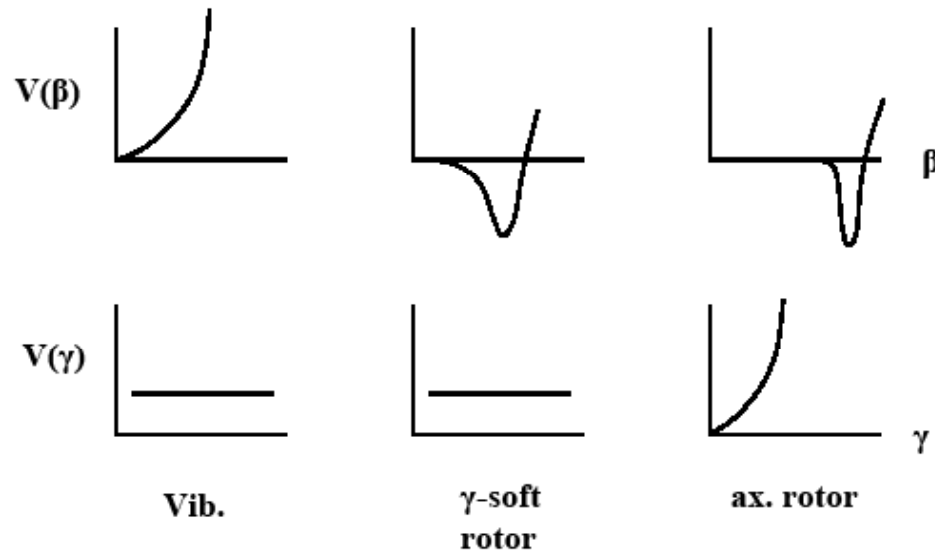
$$\therefore \frac{n^2 h^2}{8mE} = d^2$$

or  $E = \frac{n^2 h^2}{8m d^2} \quad n = 1, 2, \dots$  Zero point motion

a) confinement }  
b) wave/particle relation }  $\rightarrow$  quantization

## Geometric Collective Model

$$H = T + T_{\text{rot}} + V(\beta, \gamma)$$



**Vibrator:**

$$V = C_2 \frac{1}{\sqrt{5}} \beta^2, \quad C_2 > 0$$

**$\gamma$ -soft:**

$$V = C_2 \frac{1}{\sqrt{5}} \beta^2 + C_4 \frac{1}{5} \beta^4, \quad C_2 < 0, C_4 > 0$$

**Rotor:**

$$V = C_2 \frac{1}{\sqrt{5}} \beta^2 - C_3 \sqrt{\frac{1}{35}} \beta^3 \cos 3\gamma + C_4 \frac{1}{5} \beta^4$$

$$C_2 < 0, C_3 > 0, C_4 > 0$$

# Next time ...

- Geometric models
- Types of collective nuclei
- The microscopic drivers of collectivity
  - the valence p-n interaction
- Simple ways of estimating the structure of any nucleus
- Introduction to the Interacting Boson Approximation (IBA) Model