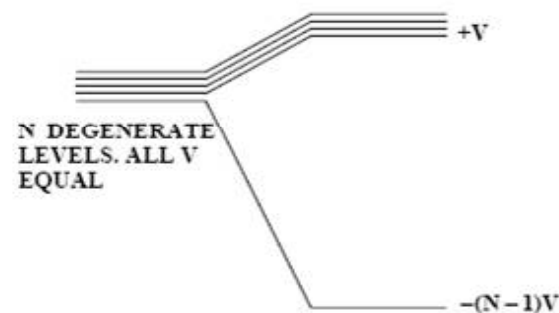


# Development of collective behavior in nuclei

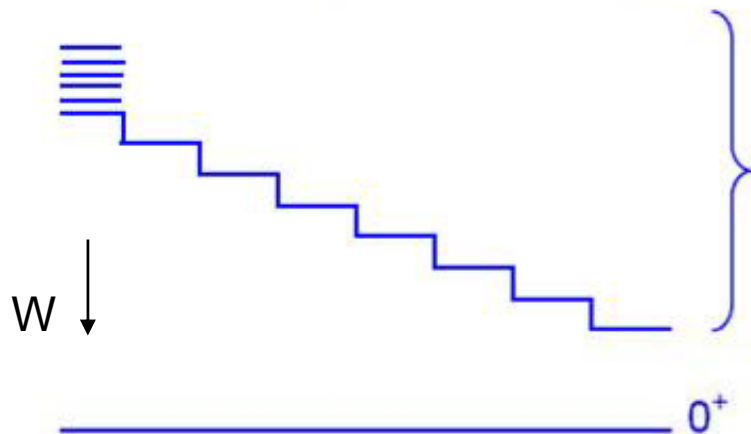
- Results primarily from correlations among valence nucleons.
- Instead of pure “shell model” configurations, the wave functions are mixed – linear combinations of many components.
- Leads to a lowering of the collective states and to enhanced transition rates as characteristic signatures.



$$\Psi_{\text{LOWEST}} = \frac{1}{\sqrt{N}} [\phi_1 + \phi_2 + \dots + \phi_N]$$

## Coherence and Transition Rates

Consider simple case of  $N$  degenerate levels:  $2^+$



$$\Delta E = (N - 1)V$$

$$\Psi = a\phi_1 + a\phi_2 + \dots + a\phi_N$$

$$\text{where } a = \frac{1}{\sqrt{N}}$$

$$\left( \sum_{i=1}^N a^2 = \frac{N}{N} = 1 \right)$$

Consider transition rate from  $2_1^+ \rightarrow 0_1^+$

$$B(E2; 2_1^+ \rightarrow 0_1^+) = \frac{1}{2J_1 + 1} \left\langle 0_1^+ \parallel E2 \parallel 2_1^+ \right\rangle^2$$

$$\left\langle 0_1^+ \parallel E2 \parallel 2_1^+ \right\rangle = \left\langle 0_1^+ \parallel E2 \parallel \Psi \right\rangle = a \sum_{i=1}^N \left\langle 0_1^+ \parallel E2 \parallel \phi_i \right\rangle$$

The more configurations that mix, the stronger the B(E2) value and the lower the energy of the collective state.

Fundamental property of collective states.

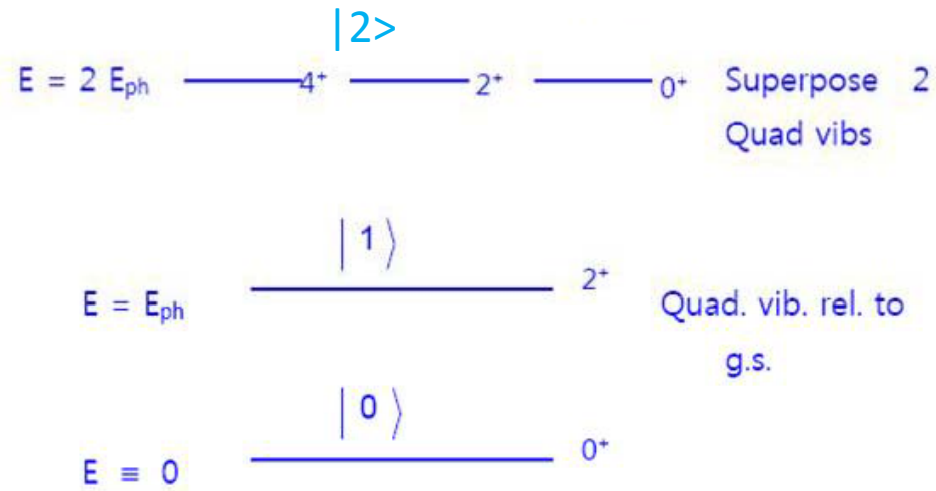
Low Lying



# Quadrupole Vibrations

Multiphonon States

Angular Momentum  $2^+$



## Phonon creation and destruction operators

Quadrupole  
case

$b_{2\mu}, b_{2\mu}^\dagger$  (drop "2 $\mu$ ")

$|n_b\rangle \equiv$   
state with  
 $n_b$  phonons

$$b |n_b\rangle = \sqrt{n_b} |n_b - 1\rangle$$

$$b^\dagger |n_b\rangle = \sqrt{n_b + 1} |n_b + 1\rangle$$

$$b |0\rangle = 0$$

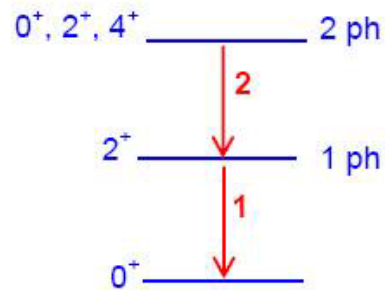
$$b^\dagger |0\rangle = |n_b = 1\rangle = \Psi_{1 \text{ phonon}}$$

$b^\dagger b = \underline{\text{number}}$  operator—counts  $n_b$ :

$$b^\dagger b |n_b\rangle = b^\dagger \sqrt{n_b} |n_b - 1\rangle = \sqrt{n_b} \sqrt{(n_b - 1) + 1} |n_b\rangle$$

$$b^\dagger b |n_b\rangle = n_b |n_b\rangle$$

## Electromagnetic Transitions in the phonon model



$E2$  operator is proportional to the annihilation operator,  $b$ , for a phonon.

$$\begin{aligned} \langle n_f | b | n_i \rangle &= \langle n_f | \sqrt{n_i} | n_i - 1 \rangle \\ &= \sqrt{n_i} \langle n_f | n_i - 1 \rangle \\ &= \sqrt{n_i} \delta_{n_f, n_i - 1} \end{aligned}$$

a)  $E2$  transition probability

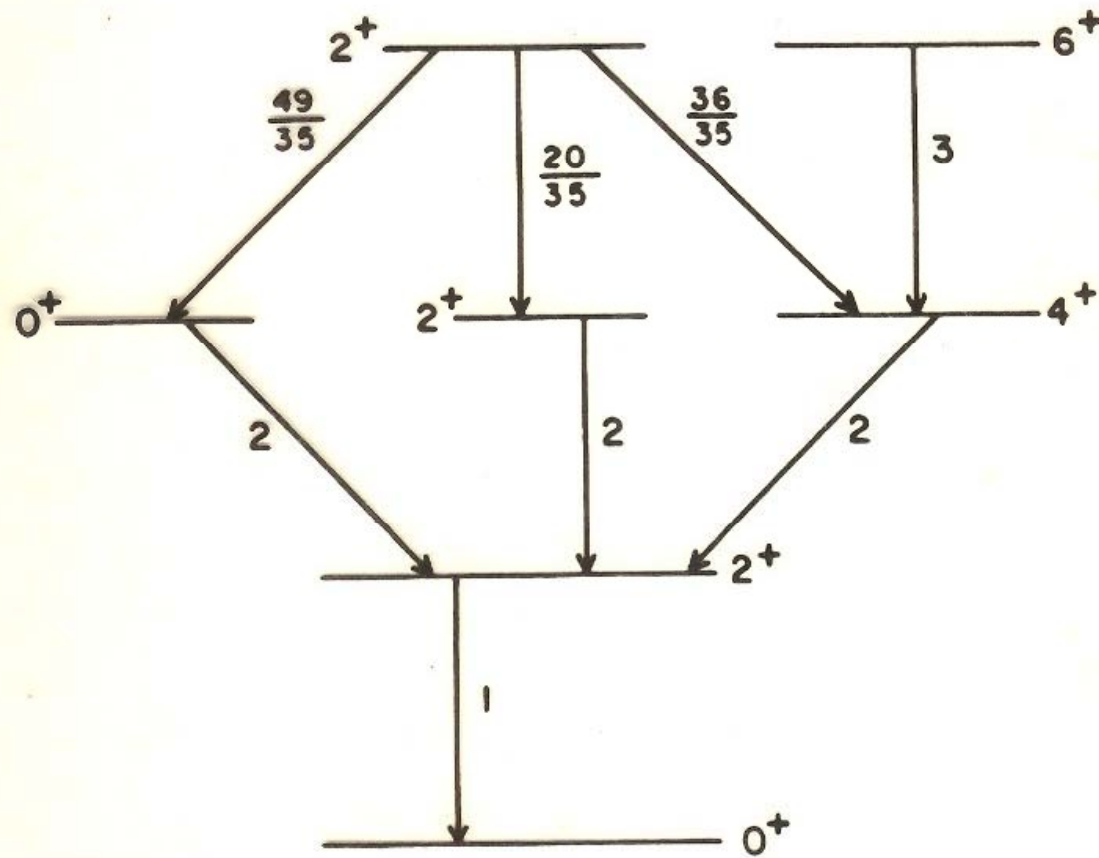
$$[ \propto \langle || | \rangle^2 ] \propto n_i$$

b) Selection rule  $\Delta n = 1$

c) Branching ratio  $\frac{B(E2; n=2 \rightarrow n=1)}{B(E2; n=1 \rightarrow n=0)} = 2$

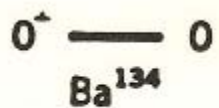
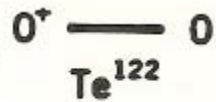
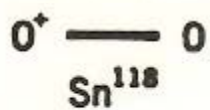
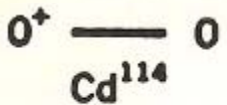
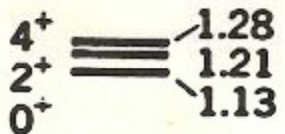
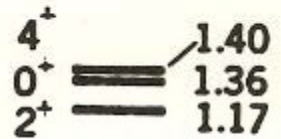
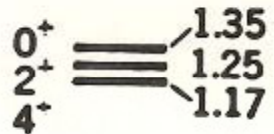
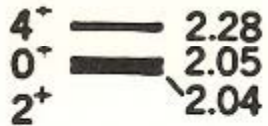
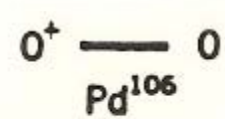
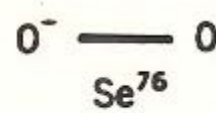
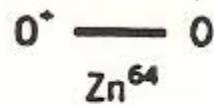
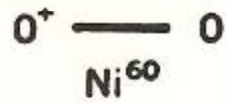
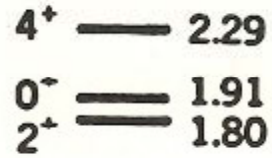
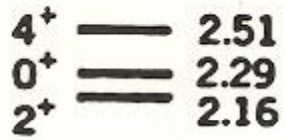
d)  $B(E2; n=2 \rightarrow 0^+ \text{ g.s.}) = 0$  --- forbidden





B(E2) VALUES FOR DECAY OF  
MULTI-PHONON STATES

$$V \sim C_2 \beta^2$$





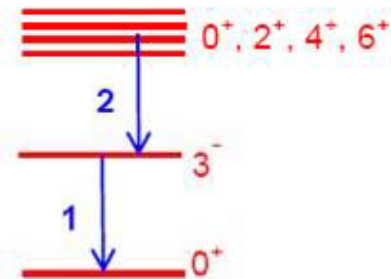
# Octupole Vibrations

$3^-$

2-phonon  $3^- \otimes 3^- \Rightarrow J = 0^+, 2^+, 4^+, 6^+$

A few examples beginning to be known

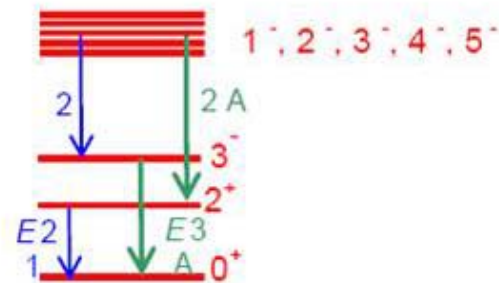
${}^{96}_{40}\text{Zr}$ ,  ${}^{146}_{64}\text{Gd}$



Multi-phonon

Octupole – Quadrupole

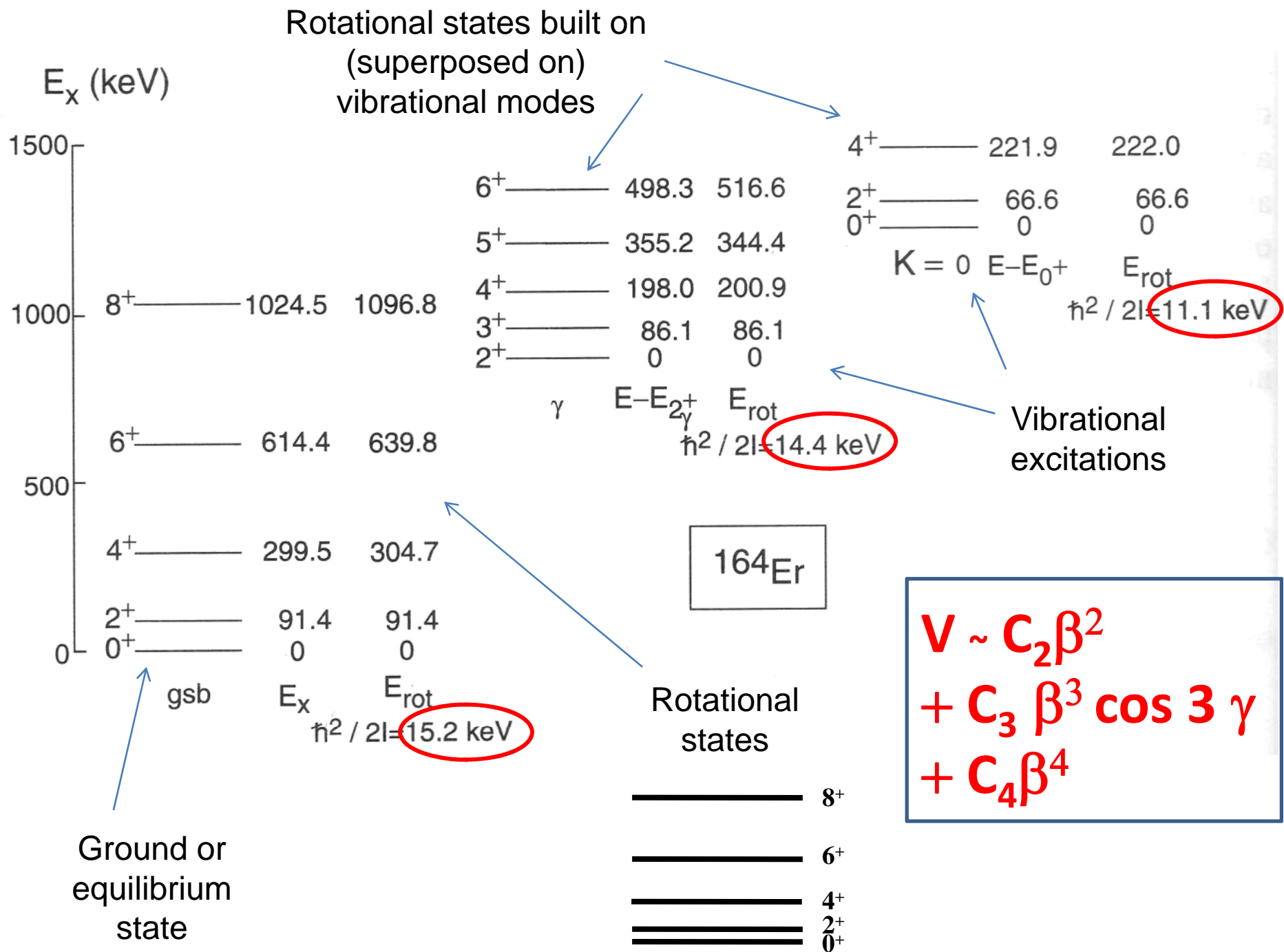
$3^- \otimes 2^+$



Deformed, ellipsoidal, rotational nuclei

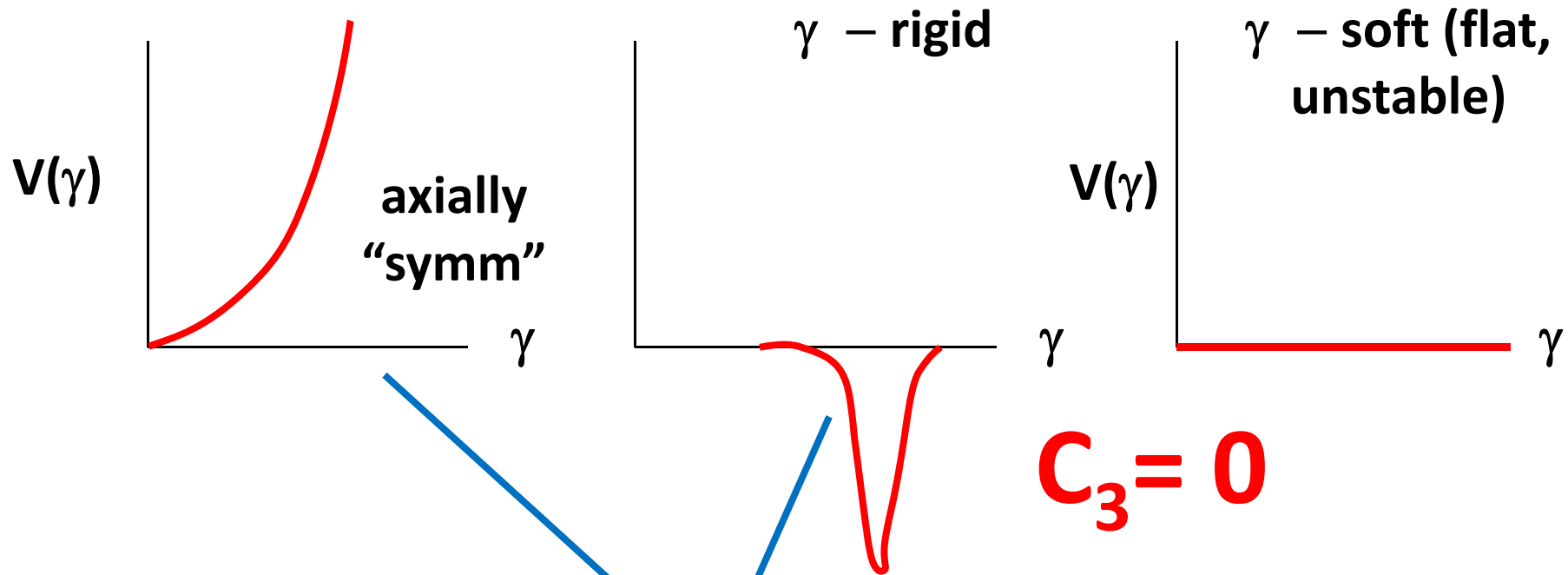
Lets look at a typical example and see  
the various aspects of structure it  
shows

Axially symmetric case  
Axial asymmetry



# Axial asymmetry (Triaxiality)

(Specified in terms of the coordinate  $\gamma$  (in degrees), either from  $0 \rightarrow 60$  or from  $-30 \rightarrow +30$  degrees – zero degrees is axially symmetric)



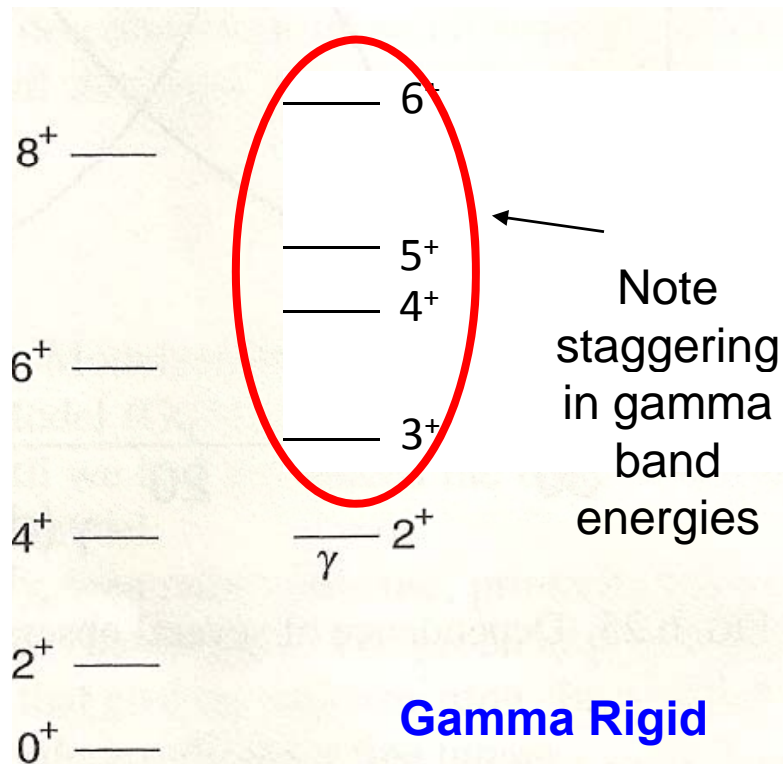
$$C_3 = 0$$

$$V \sim C_2 \beta^2 + C_3 \cos 3 \gamma \beta^3 + C_4 \beta^4$$

Note: for axially symm. deformed nuclei, MUST have a large  $C_3$  term

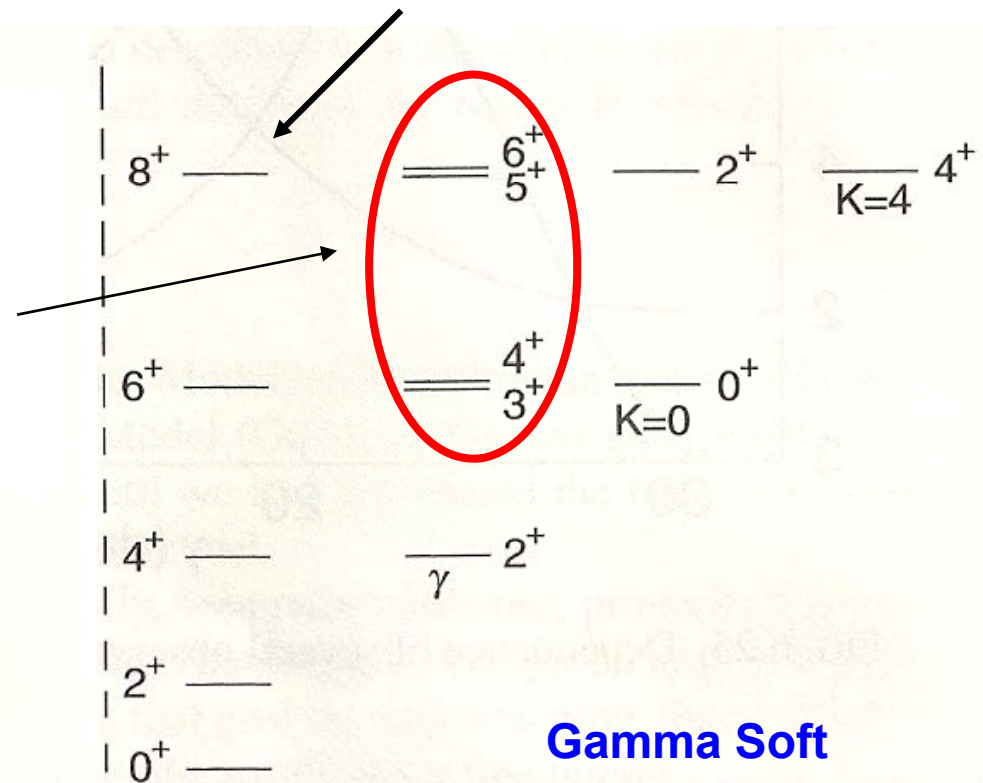
# Axial Asymmetry in Nuclei – two types

$$E \sim \Lambda(\Lambda + 3) \sim J(J + 6)$$



**Gamma Rigid**

BAND STRUCTURE

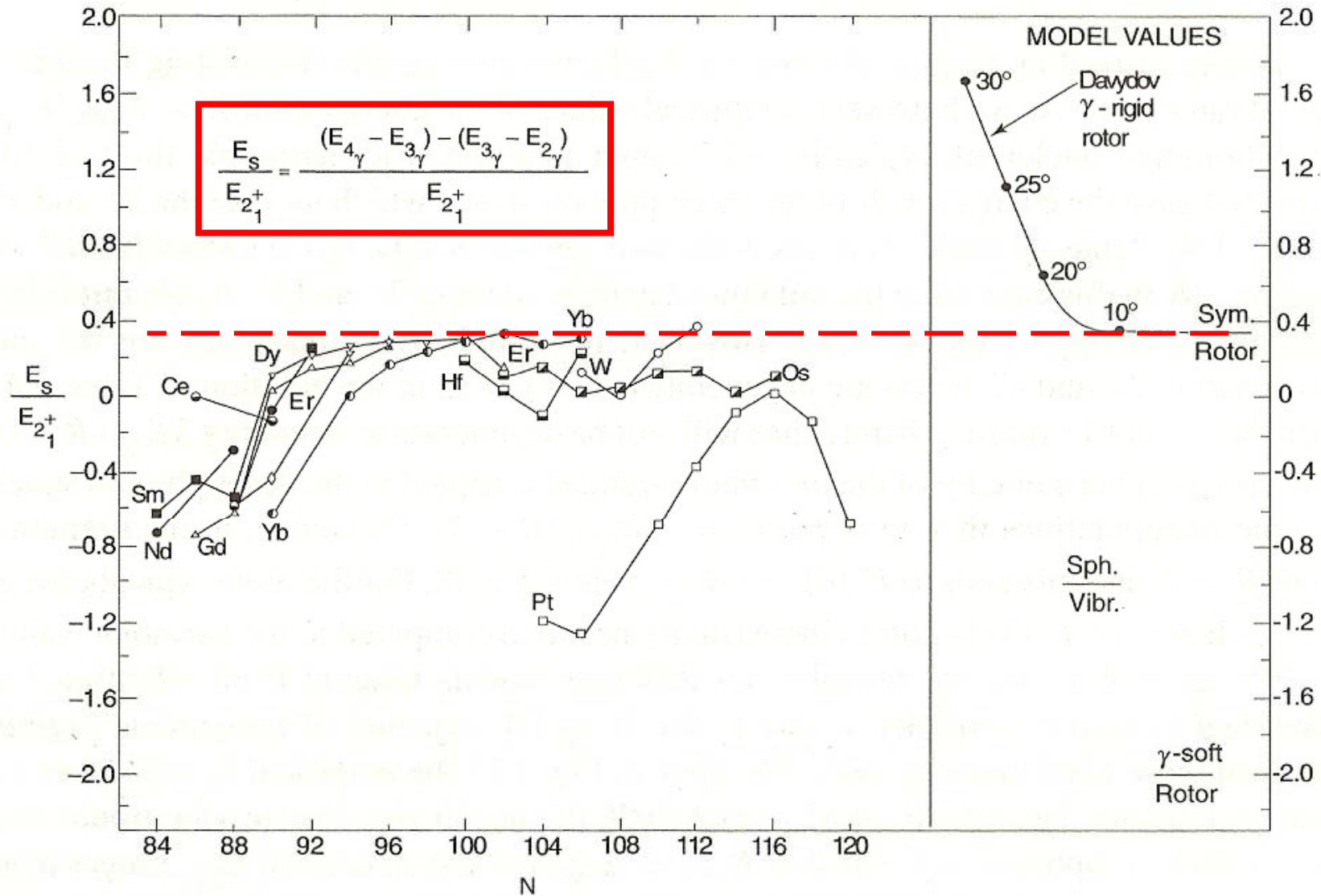


**Gamma Soft**

BAND STRUCTURE

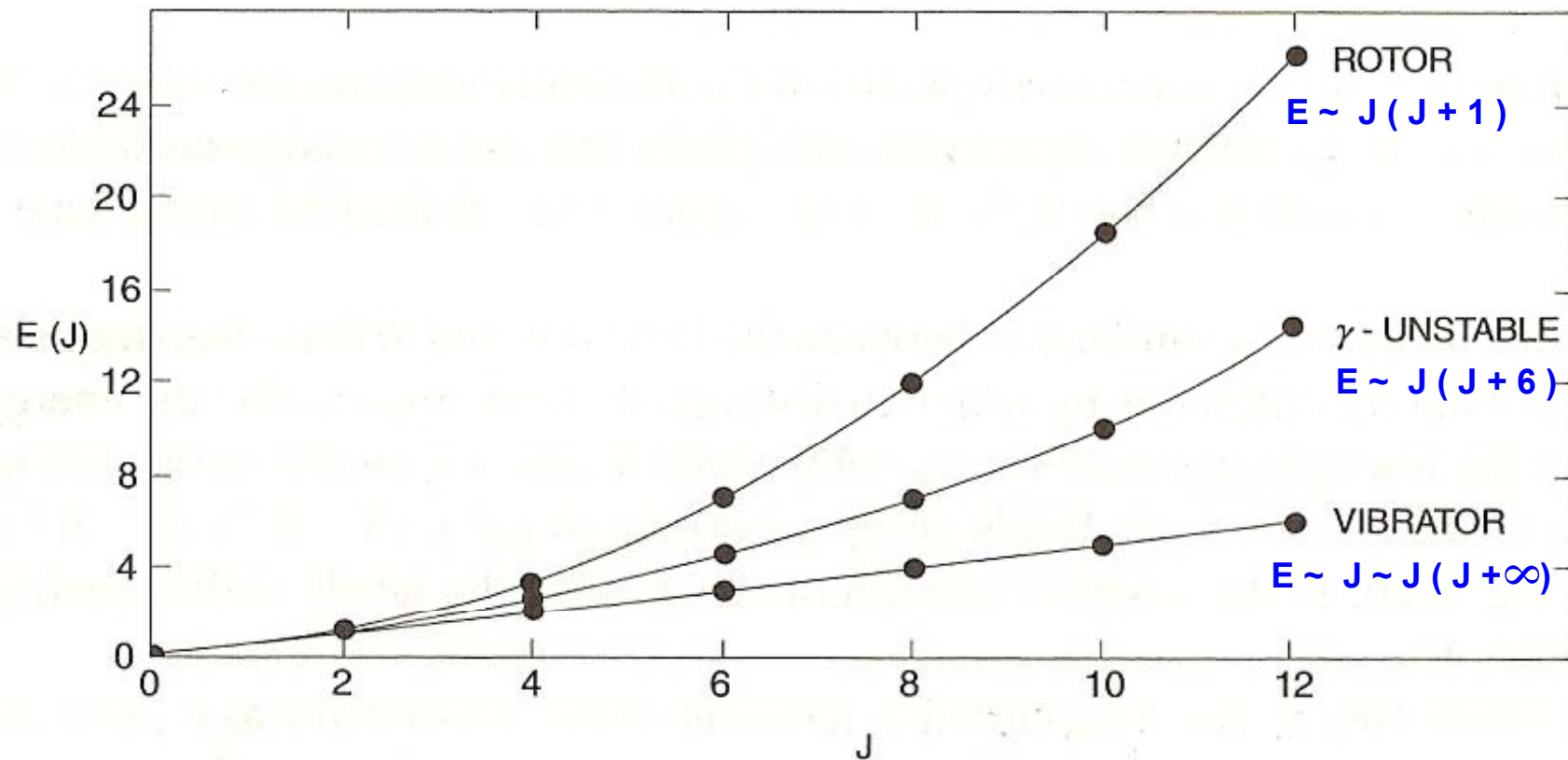
Wilets-Jean, Gamma unstable

# Use staggering in gamma band energies as signature for the kind of axial asymmetry



# Overview of yrast energies

Can express energies as  $E \sim J (J + X)$



Now that we know some simple models of atomic nuclei, how do we know where each of these structures will appear? How does structure vary with  $Z$  and  $N$ ? What do we know?

- **Near closed shells nuclei are spherical and can be described in terms of a few shell model configurations.**
- **As valence nucleons are added, configuration mixing, collectivity and, eventually, deformation develop. Nuclei near mid-shell are collective and deformed.**
- **The driver of this evolution is a competition between the pairing force and the p-n interaction, both primarily acting on the valence nucleons.**



# Estimating the properties of nuclei

We know that  $^{134}\text{Te}$  (52, 82) is spherical and non-collective.

We know that  $^{170}\text{Dy}$  (66, 104) is doubly mid-shell and very collective.

What about:

$^{156}\text{Te}$  (52, 104)     $^{156}\text{Gd}$  (64, 92)     $^{184}\text{Pt}$  (78, 106) ???

All have 24 valence nucleons. What are their relative structures ???

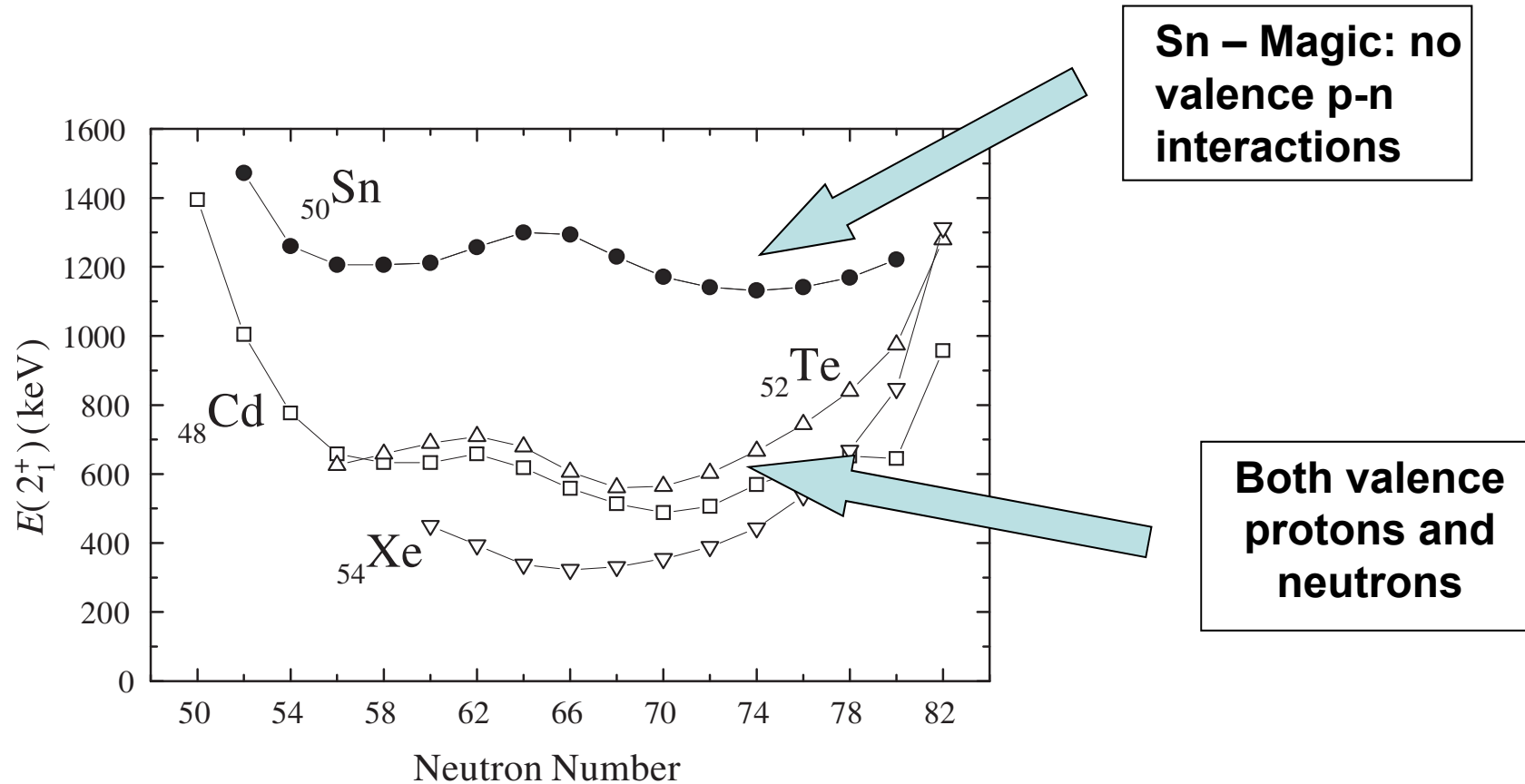
# **Valence Proton-Neutron Interaction**

**Development of configuration mixing,  
collectivity and deformation – competition  
with pairing**

**Changes in single particle energies and  
magic numbers**

**Partial history: Goldhaber and de Shalit (1953); Talmi (1962);  
Federman and Pittel (late 1970's); Casten et al (1981); Heyde et al  
(1980's); Nazarewicz, Dobaczewski et al (1980's); Otsuka et al (2000's);  
Cakirli et al (2000's); and many others.**

# The idea of “both” types of nucleons – the p-n interaction



If p-n interactions drive configuration mixing, collectivity and deformation, perhaps they can be exploited to understand the evolution of structure.

Lets assume, just to play with an idea, that all p-n interactions have the same strength. This is not realistic since the interaction strength depends on the orbits the particles occupy, but, maybe, on average, it might be OK.

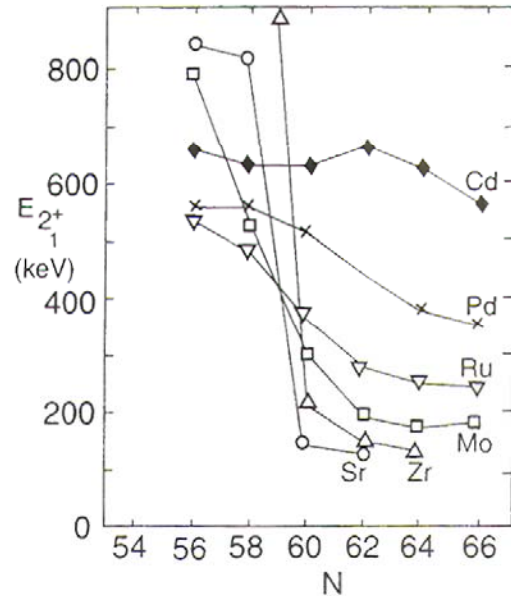
How many valence p-n interactions are there?  $N_p \times N_n$

If all are equal then the integrated p-n strength should scale with  $N_p \times N_n$

***The  $N_p N_n$  Scheme***

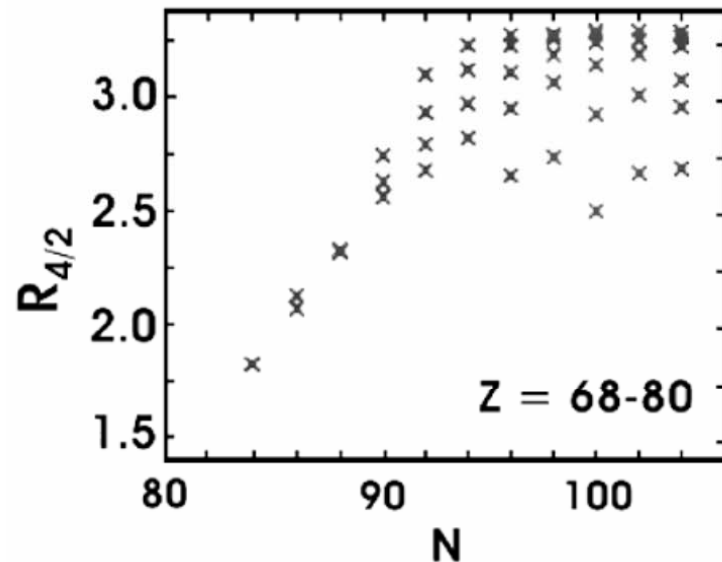
# Valence Proton-Neutron Interactions

Correlations, collectivity, deformation. Sensitive to magic numbers.

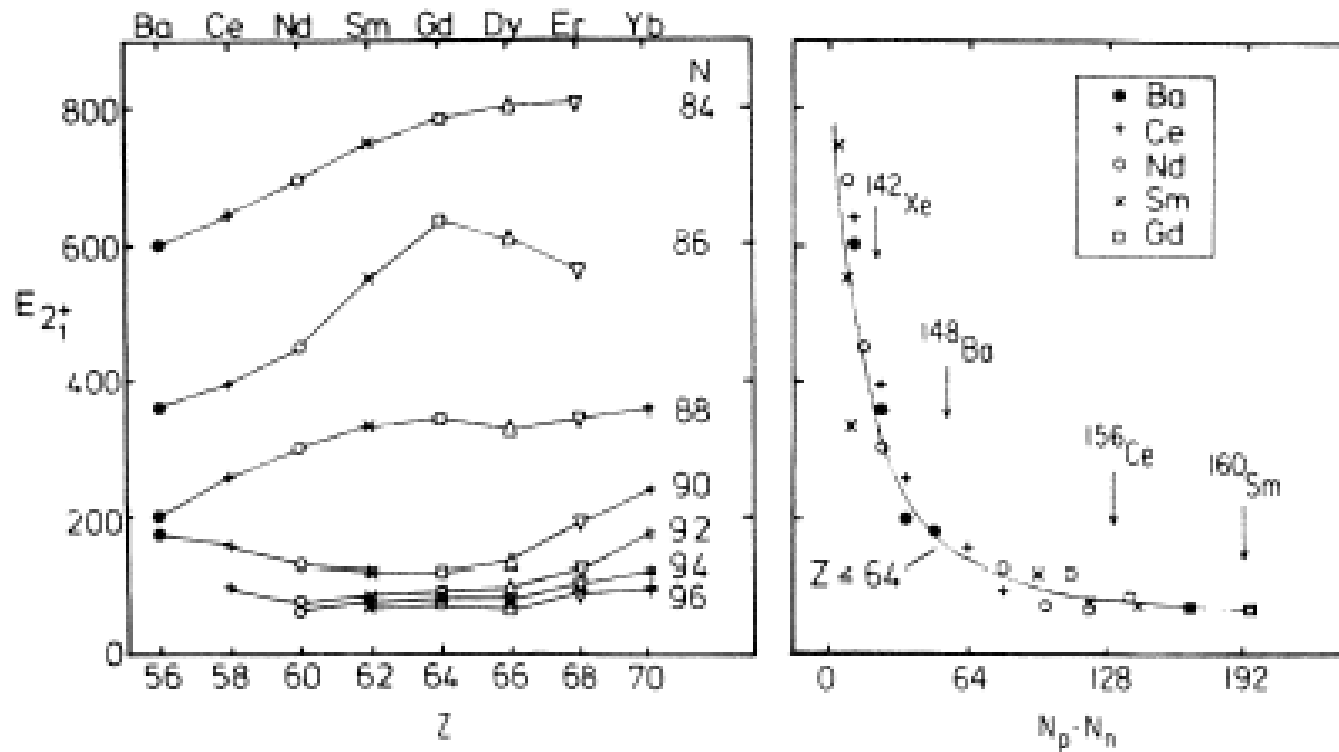


$N_p N_n$   
Scheme

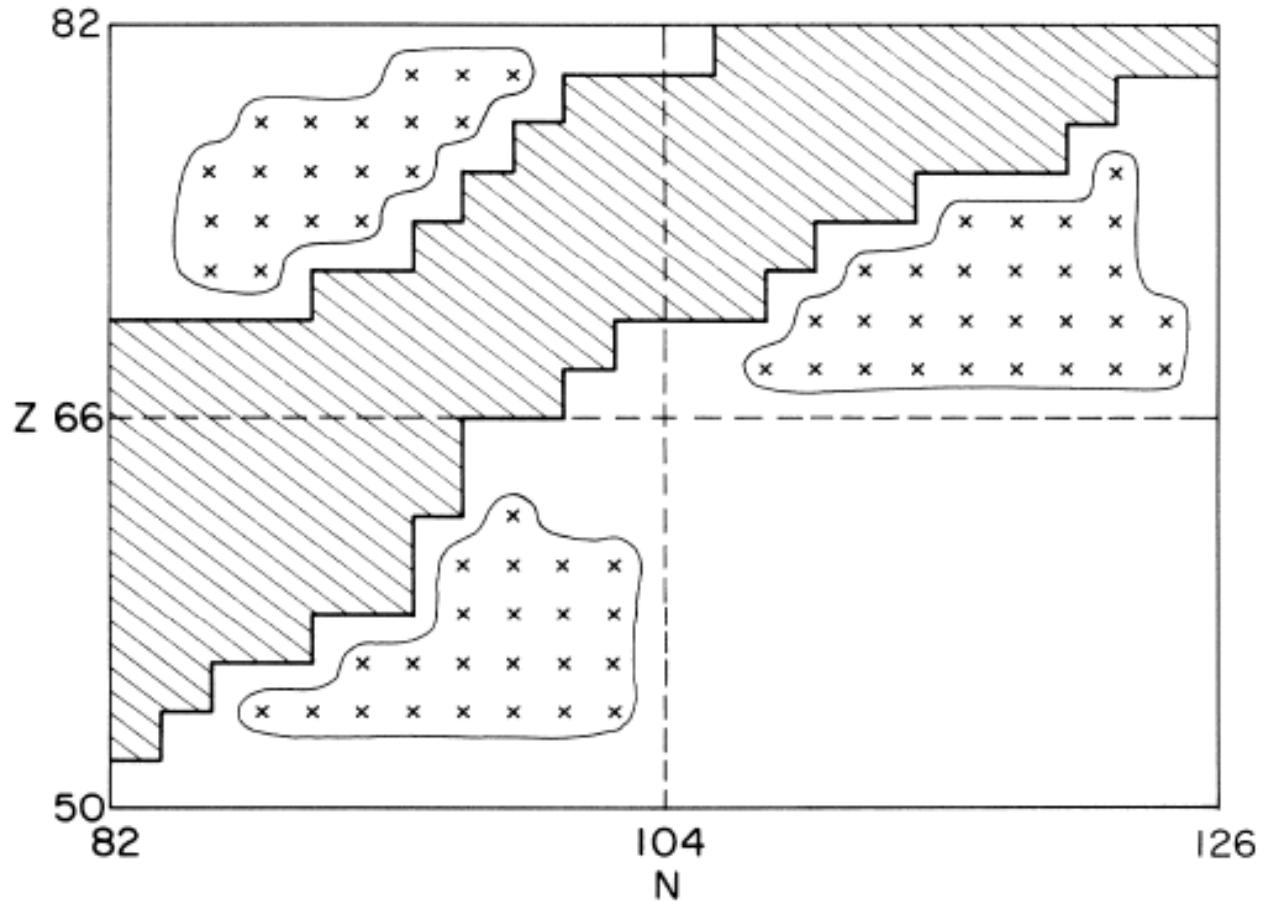
$P = N_p N_n / (N_p + N_n)$   
p-n interactions per  
pairing interaction



# The $N_p N_n$ scheme: Interpolation vs. Extrapolation



# Predicting new nuclei with the $N_p N_n$ Scheme



All the nuclei marked with x's can be predicted by INTERpolation

# Competition between pairing and the p-n interactions

## A simple microscopic guide to the evolution of structure

**(The next slides allow you to estimate the structure of  
any nucleus by multiplying and dividing two numbers  
each less than 30)**

**(or, if you prefer, you can get the same result from 10 hours of  
supercomputer time)**

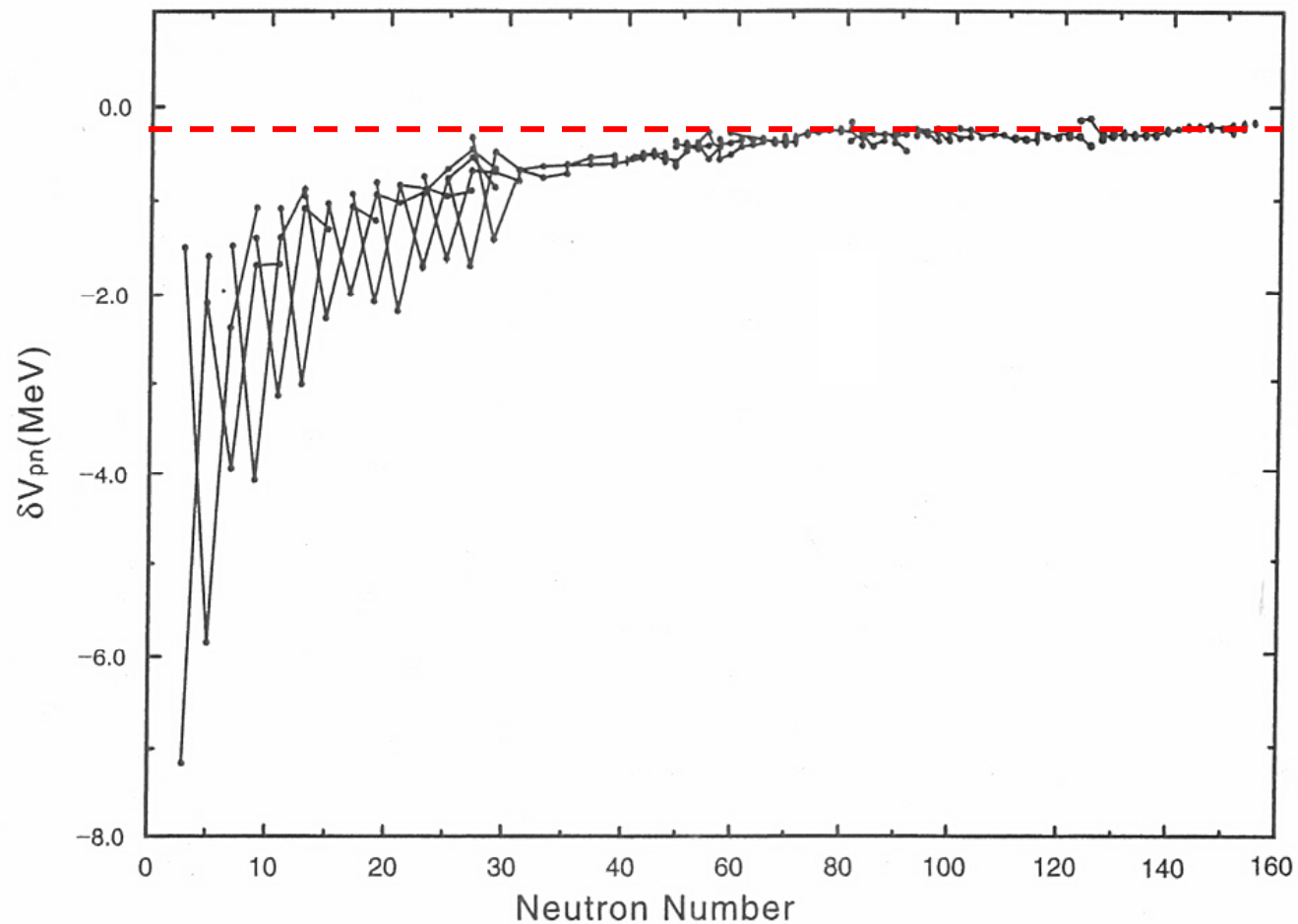


# Valence p-n interaction: Can we measure it?



# Empirical interactions of the last proton with the last neutron

$$\delta V_{pn}(Z, N) = -\frac{1}{4}\{[B(Z, N) - B(Z, N - 2)] - [B(Z - 2, N) - B(Z - 2, N - 2)]\}$$



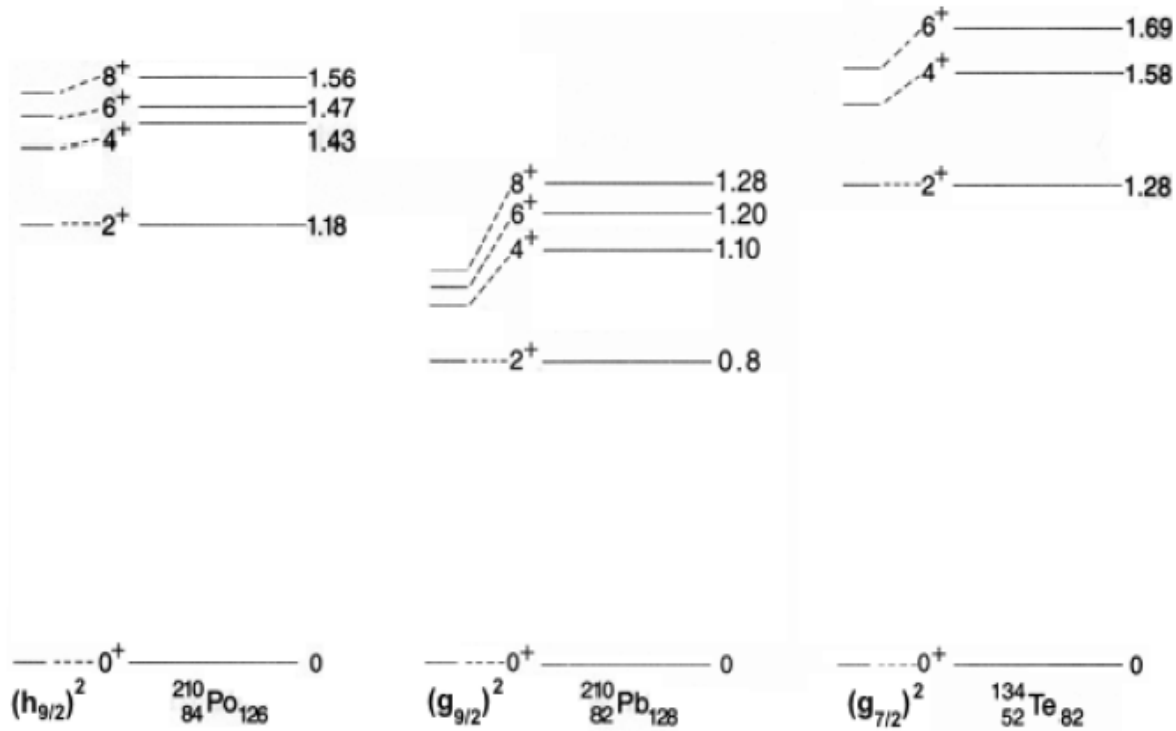
# p-n / pairing

$$P = \frac{N_p N_n}{N_p + N_n} \sim \frac{p - n}{\text{pairing}}$$

p-n interactions per pairing interaction

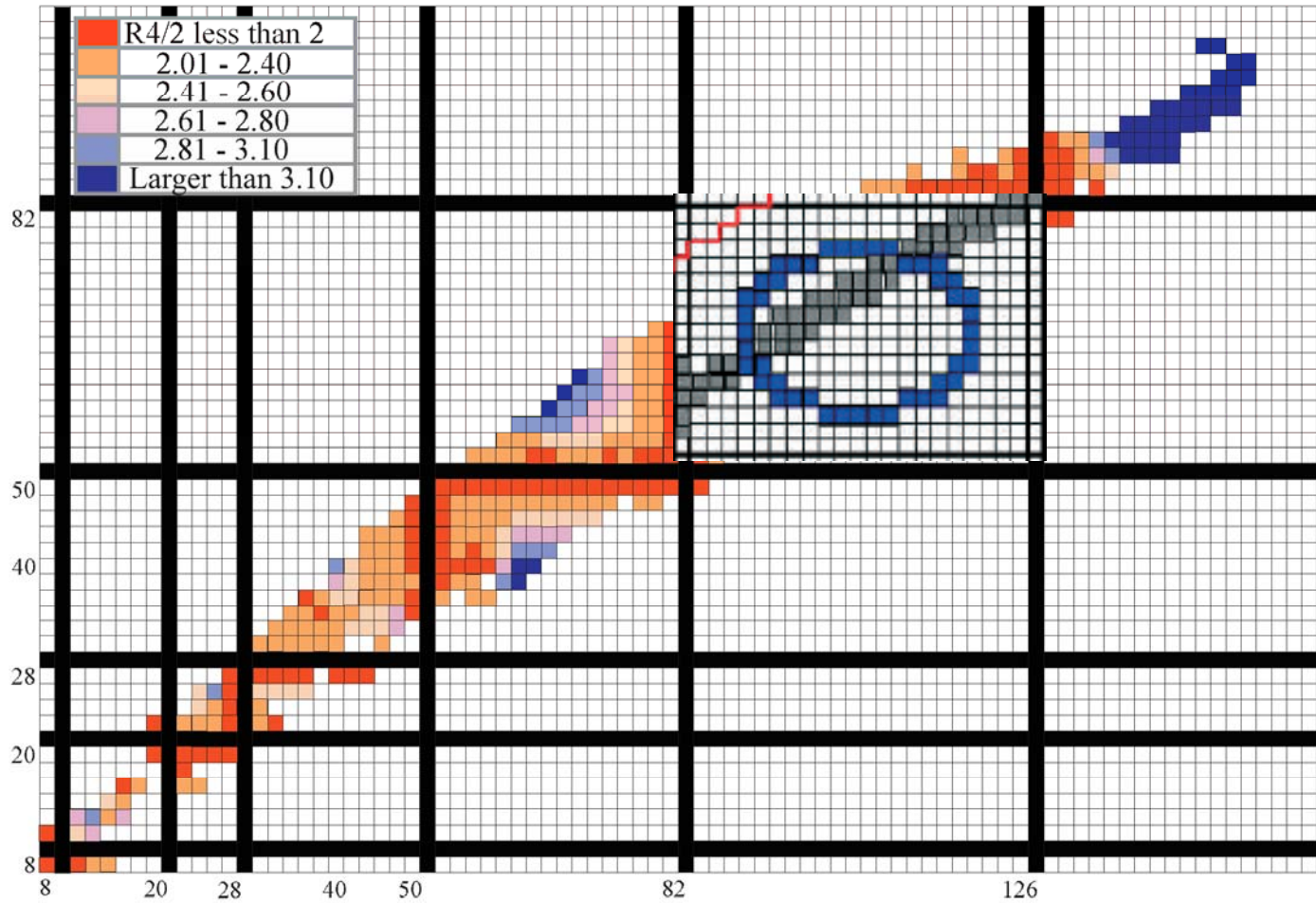
Pairing int. ~ 1 MeV, p-n ~ 200 keV

Hence takes ~ 5 p-n int. to compete with one pairing int.



**P ~ 5**

# Comparison with the data



# The IBA

## The Interacting Boson Approximation Model

A very simple phenomenological model, that can be extremely parameter-efficient, for collective structure

- Why the IBA
- Basic ideas about the IBA, including a primer on its Group Theory basis
- The Dynamical Symmetries of the IBA
- Practical calculations with the IBA

# IBA – A Review and Practical Tutorial

F. Iachello and A. Arima

## Drastic simplification of shell model

- Valence nucleons
- Only certain configurations
- Simple Hamiltonian – interactions

“Boson” model because it treats nucleons in pairs

2 fermions  $\longrightarrow$  boson

---

## The Need for Simplification in Multiparticle Spectra

Why do we  
need to  
simplify – why  
not just  
calculate with  
the Shell  
Model????

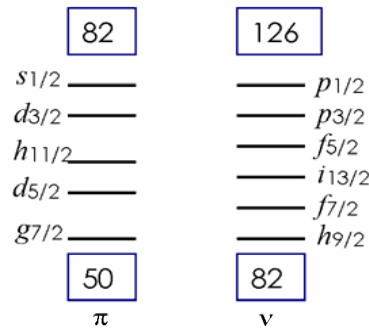
Example: How many 2+ states?

# nucl.

$$\begin{array}{l}
 2 \quad d_{5/2}^2 \quad 1 \\
 4 \quad d_{5/2} g_{7/2} \quad \geq 7
 \end{array}
 \left| \begin{array}{l}
 d_{5/2}^2 J=2, g_{7/2}^2 J=0 \\
 d_{5/2}^2 J=4, g_{7/2}^2 J=2; J=2 \\
 d_{5/2}^2 J=2, g_{7/2}^2 J=4; J=2 \\
 d_{5/2}^2 J=4, g_{7/2}^2 J=6; J=2 \\
 d_{5/2} g_{7/2} J=1, d_{5/2} g_{7/2} J=1; J=2 \\
 d_{5/2}^2 J=4, g_{7/2}^2 J=4; J=2
 \end{array} \right\rangle$$

$^{154}_{62}\text{Sm}_{92}$   
cl. sh. 50 82  
 $N_p = 12 \quad N_n = 10$

12 val.  $\pi$  in 50 – 82  
10 val.  $\nu$  in 82 – 126



How many 2+ states subject  
to Pauli Principle limits?

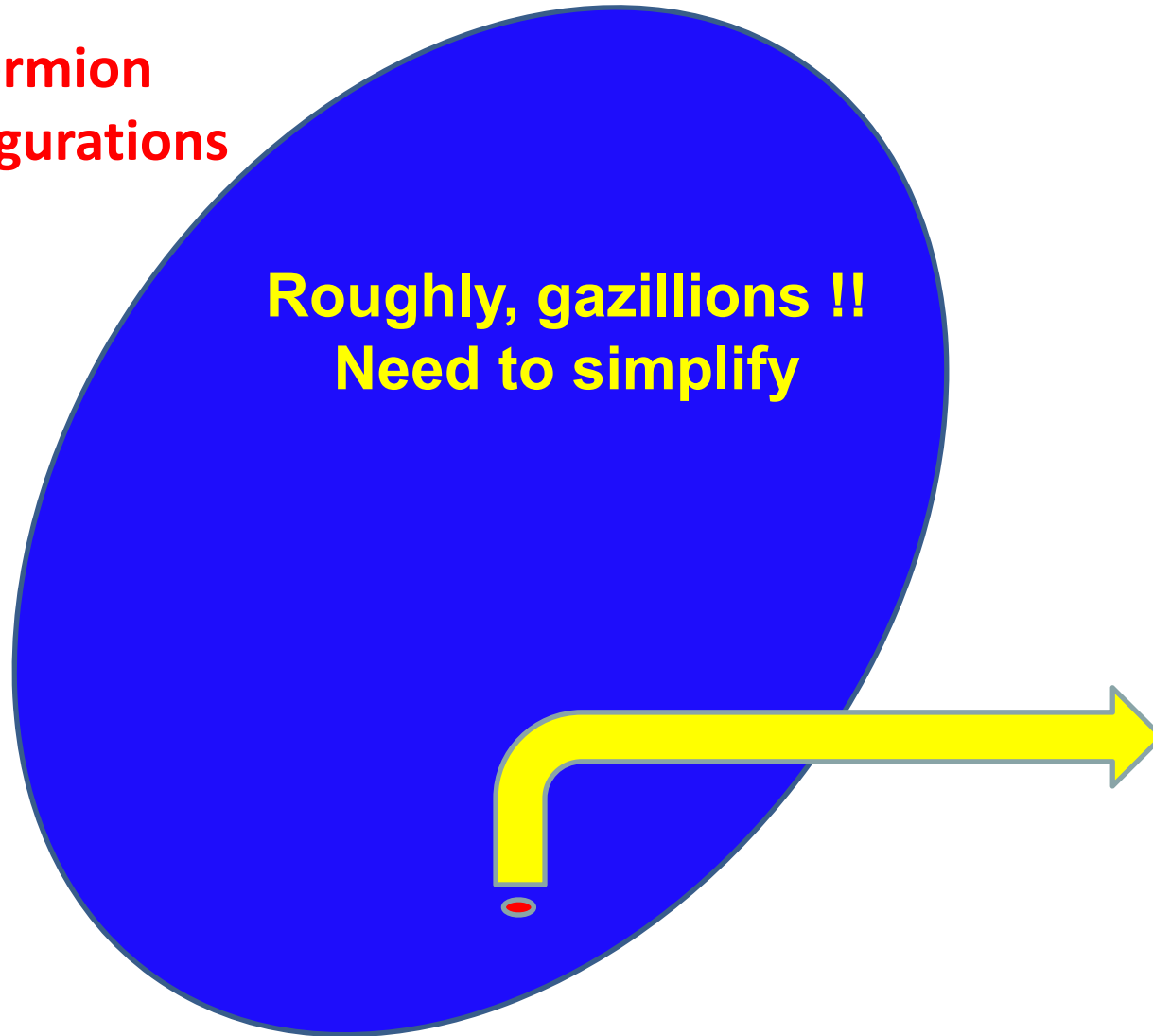
$3 \times 10^{14} !!!$

$^{154}\text{Sm}$  2+ states within the  
valence shell space

# Shell Model Configurations

**Fermion  
configurations**

**Roughly, gazillions !!  
Need to simplify**



**The IBA**

**Boson  
configurations**  
(by considering only  
configurations of  
pairs of fermions  
with  $J = 0$  or  $2$ .)



# IBM

Assume valence fermions couple in pairs to bosons of spins  $0^+$  and  $2^+$

$0^+$	$s$ -boson
$2^+$	$d$ -boson

$s$  boson is like a Cooper pair

$d$  boson is like a generalized pair

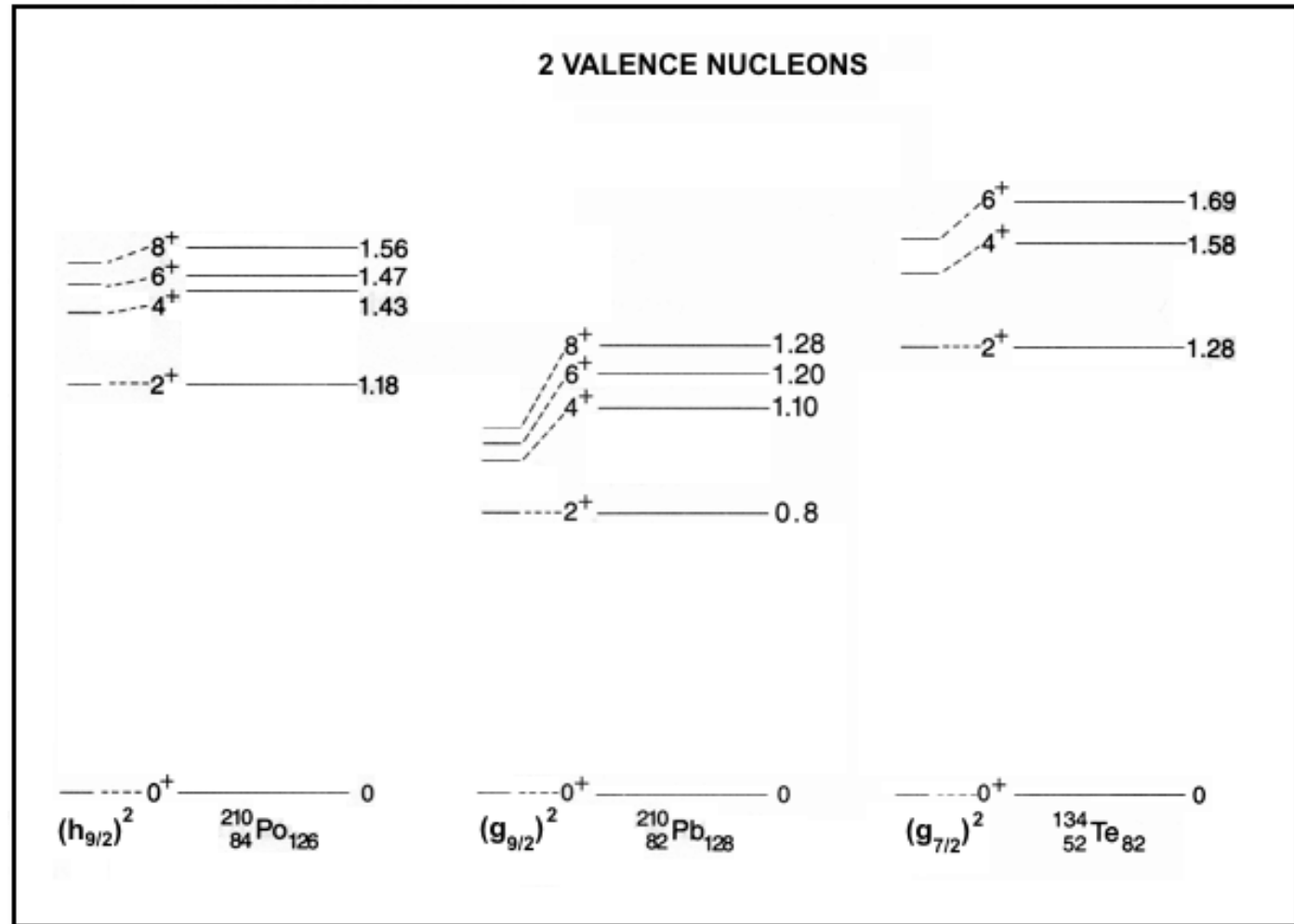
- 
- 
- Valence nucleons only
  - $s, d$  bosons – creation and destruction operators

$$H = H_s + H_d + H_{\text{interactions}}$$

$$\text{Number of bosons fixed: } N = n_s + n_d$$

$$= \frac{1}{2} \# \text{ of val. protons} + \frac{1}{2} \# \text{ val. neutrons}$$

# Why s, d bosons?



s

Lowest state of all e-e nuclei is  $0^+$   
 $\delta$ - fct gives  $0^+$  ground state

d

First excited state in non-magic e-e nuclei almost always  $2^+$   
 $\delta$ - fct gives  $2^+$  next above  $0^+$

# Modeling a Nucleus

Why the IBA is the best thing since baseball, a jacket potato, aceto balsamico, Mt. Blanc, raclette, pfannekuchen, baklava, ....

$^{154}\text{Sm}$   $\longrightarrow$  Shell model  $\longrightarrow$   $3 \times 10^{14}$   $2^+$  states

## Need to truncate IBA assumptions

1. Only valence nucleons
2. Fermions  $\rightarrow$  bosons

$J = 0$  (s bosons)

$J = 2$  (d bosons)



Is it conceivable that these 26 basis states are correctly chosen to account for the properties of the low lying collective states?

IBA: 26  $2^+$  states

# Why the IBA ??????

- Why a model with such a drastic simplification – Oversimplification ???
- Answer: Because it works !!!!!
- **By far the most successful general nuclear collective model for nuclei**
- **Extremely parameter-economic**

## Note key point:

- • Bosons in IBA are pairs of fermions in **valence** shell

Number of bosons for a given nucleus is **a fixed** number



$$N_{\pi} = 6 \quad 5 = N_{\nu} \Rightarrow N_B = 11$$

Basically the IBA is a Hamiltonian written in terms of s and d bosons and their interactions. It is written in terms of boson creation and destruction operators.

# Where the IBA fits in the pantheon of nuclear models

- Shell Model (Sph. Def.) - (Microscopic)

- Geometric – (Macroscopic)

- Third approach — “Algebraic”

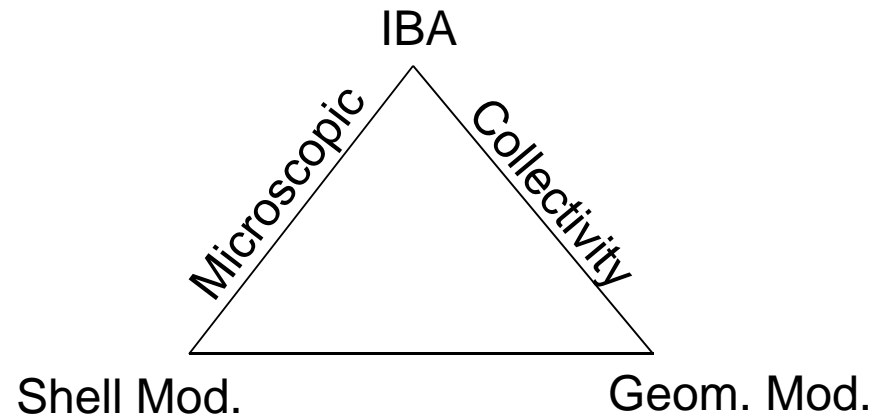


Group Theoretical

Dynamical  
Symmetries



Phonon-like model with microscopic basis explicit from the start.



# IBA has a deep relation to Group theory

That relation is based on the operators that create, destroy  $s$  and  $d$  bosons

$s^\dagger, s, \underbrace{d^\dagger, d}$  operators

Ang. Mom. 2

$d^\dagger_\mu, d_\mu \quad \mu = 2, 1, 0, -1, -2$

Hamiltonian is written in terms of  $s, d$  operators

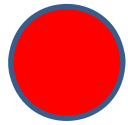
Since boson number is conserved for a given nucleus,  $H$  can only contain “bilinear” terms: 36 of them.

$s^\dagger s, s^\dagger d, d^\dagger s, d^\dagger d$



Gr. Theor.  
classification  
of  
Hamiltonian

Group is  
called  
**U(6)**



# Brief, simple, trip into the Group Theory of the IBA

**DON'T BE SCARED**

You do not need to understand all the details but try to get the idea of the relation of groups to degeneracies of levels and quantum numbers

A more intuitive name for this application of Group Theory is

**“Spectrum Generating Algebras”**



## Review of phonon creation and destruction operators

$$\mathbf{b}|n_b\rangle = \sqrt{n_b} |n_b - 1\rangle$$

$$\mathbf{b}^\dagger |n_b\rangle = \sqrt{(n_b + 1)} |n_b + 1\rangle$$

What is a creation operator? Why useful?

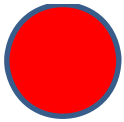
A) Bookkeeping – makes calculations very simple.

B) “Ignorance operator”: We don’t know the structure of a phonon but, for many predictions, we don’t need to know its microscopic basis.

$$\mathbf{b}^\dagger \mathbf{b}|n_b\rangle = \mathbf{b}^\dagger \sqrt{n_b} |n_b - 1\rangle = \sqrt{n_b} \sqrt{(n_b - 1) + 1} |n_b\rangle = n_b |n_b\rangle$$

$\mathbf{b}^\dagger \mathbf{b}$  is a **b**-phonon number operator.

For the IBA a boson is the same as a phonon – think of it as a collective excitation with ang. mom. 0 (s) or 2 (d).



# Concepts of group theory

First, some fancy words with simple meanings: Generators, Casimirs, Representations, conserved quantum numbers, degeneracy splitting

Generators of a group: Set of operators,  $O_i$  that close on commutation.

$[O_i, O_j] = O_i O_j - O_j O_i = O_k$  i.e., their commutator gives back 0 or a member of the set

For IBA, the 36 operators  $s^\dagger s, d^\dagger s, s^\dagger d, d^\dagger d$  are generators of the group U(6).

ex:  $[d^\dagger s, s^\dagger s] |n_d n_s\rangle = (d^\dagger s s^\dagger s - s^\dagger s d^\dagger s) |n_d n_s\rangle$

$$= d^\dagger s n_s |n_d n_s\rangle - s^\dagger s d^\dagger s |n_d n_s\rangle$$

$$= (n_s - s^\dagger s) d^\dagger s |n_d n_s\rangle$$

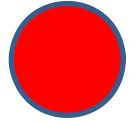
e.g:  $\left[ N, s^\dagger \tilde{d} \right] \frac{1}{\sqrt{n_s - s^\dagger s}} \frac{1}{\sqrt{n_d + 1}} \frac{1}{\sqrt{n_s}} |n_d + 1, n_s - 1\rangle - s^\dagger \tilde{d} N \left] \Psi$

$$= \sqrt{n_d + 1} \frac{1}{\sqrt{n_s}} \left[ n_s - (n_s - 1) \right] \frac{1}{\sqrt{n_d + 1}} \frac{1}{\sqrt{n_s}} |n_d + 1, n_s - 1\rangle \Psi$$

$$= \sqrt{n_d + 1} \frac{1}{\sqrt{n_s}} |n_d + 1, n_s - 1\rangle - N s^\dagger \tilde{d} \Psi = 0$$

$$= d^\dagger s |n_d n_s\rangle$$

or:  $[d^\dagger s, s^\dagger s] = d^\dagger s$



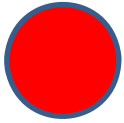
## Sub-groups:

Subsets of generators that commute among themselves.

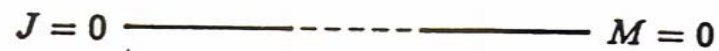
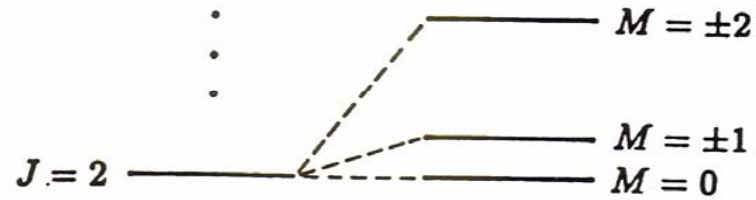
**e.g:**  $d^\dagger d$           25 generators—span U(5)

They conserve  $n_d$  (#  $d$  bosons)

Set of states with same  $n_d$  are the representations of the group [ U(5) ]



# Simple example of dynamical symmetries, group chain, degeneracies

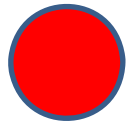


$$E_{JM} = 2a J(J+1) + 2b M^2$$

$O(3) \supset O(2)$

$$[H, J^2] = [H, J_z] = 0$$

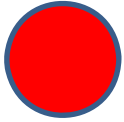
$J, M$  constants of motion



**Let's illustrate group chains and degeneracy-breaking.**

Consider a Hamiltonian that is a function **ONLY** of:  $s^\dagger s + d^\dagger d$

*That is:*  $H = a(s^\dagger s + d^\dagger d) = a(n_s + n_d) = aN$

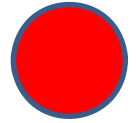


$$H' = H = aN$$

Now, add a term to this Hamiltonian:

*Now the energies depend not only on  $N$  but also on  $n_d$*

*States of a given  $n_d$  are now degenerate. They are “representations” of the group  $U(5)$ . States with different  $n_d$  are not degenerate*



$$2a \frac{N+2}{}$$

$$H' = aN \boxed{\phantom{0000}} = a N \boxed{\phantom{0000}}$$

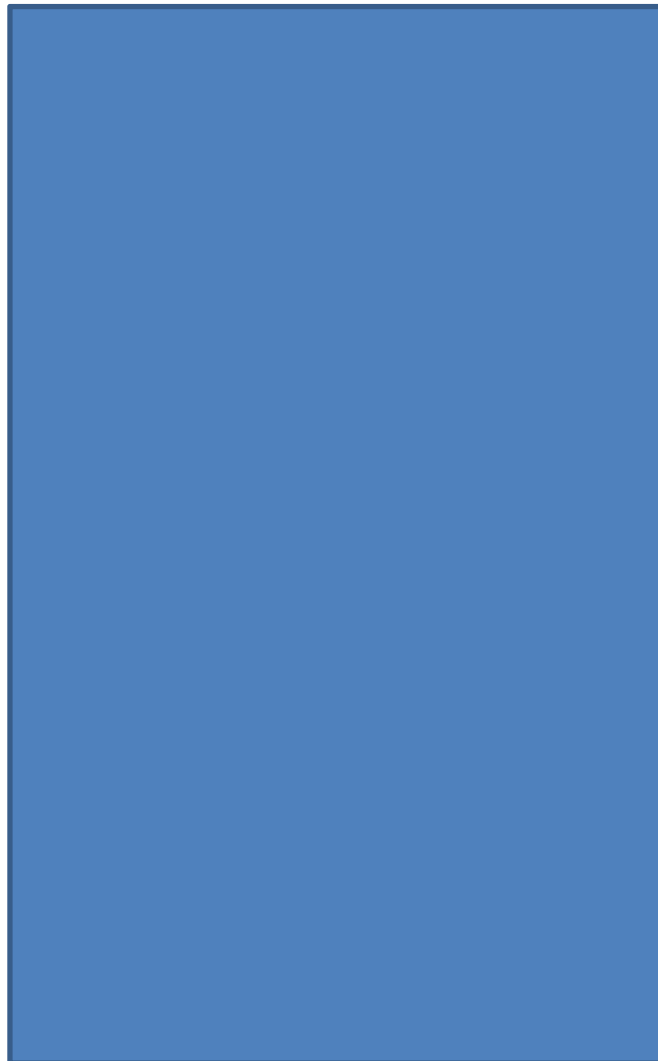
$$a \frac{N+1}{}$$

$$0 \frac{N}{}$$

E

U(6)

$$H' = aN$$



*Etc. with further terms*

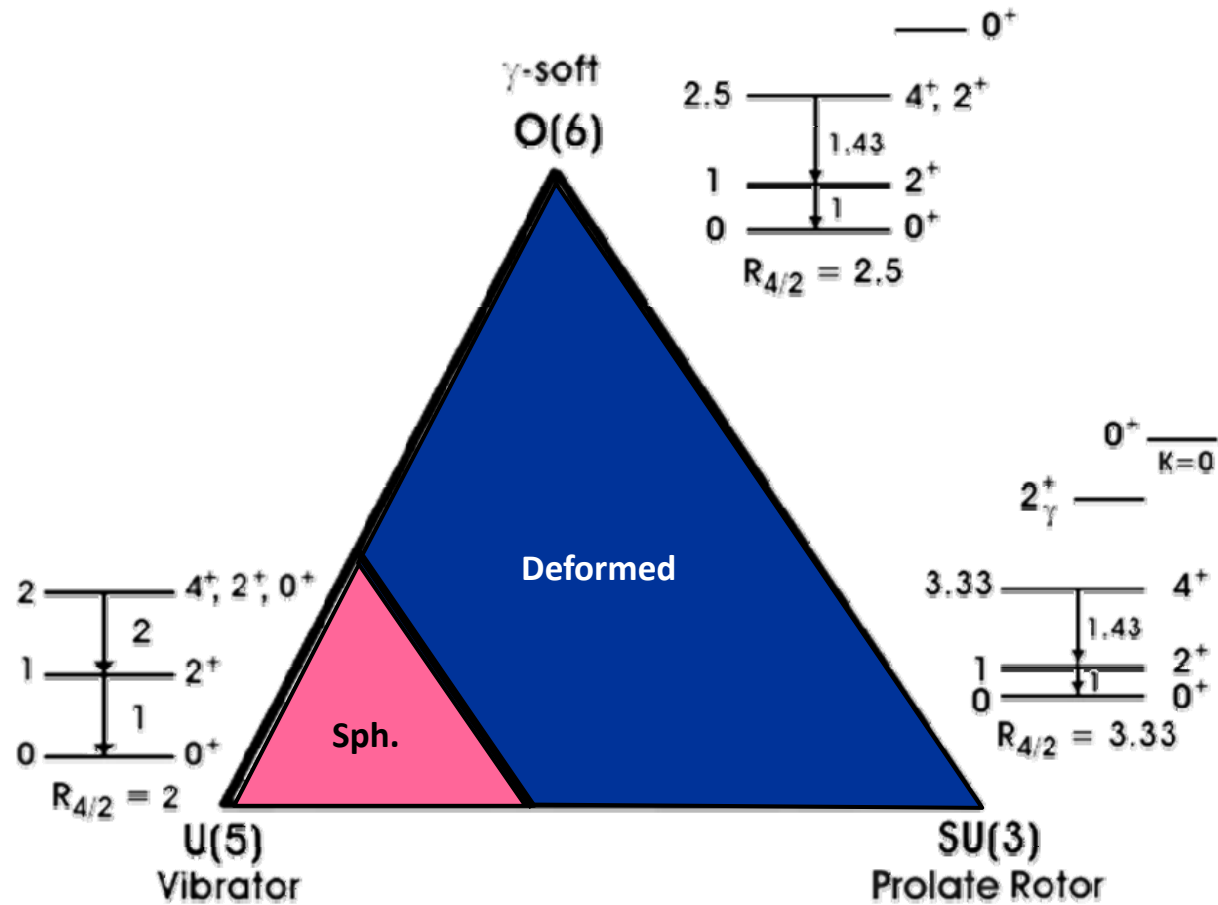
OK, here's the key point :

# Concept of a Dynamical Symmetry

**Spectrum generating algebra !!**



Next time



Classifying Structure -- The Symmetry Triangle